



Development of a joint Regional Transport and Communications Policy and Strategy and Priority Investment Plan (TCS/PIP): the COMESA experience for transport

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Regional Integration Support Program (RISP)

- *Overall objective is to contribute to the implementation of the mandates of the four Regional Integration organisations (RIOs) and member states to:*
 - *increase competitiveness,*
 - *economic growth , and*
 - *poverty reduction through enhanced regional integration.*
- *To speed up Regional development programs and enhancing trade competitiveness;*
- *The Regional Integration Support Program (RISP) is a program to address the Nine key intervention areas identified under the contribution agreement of EU to COMESA and partnering REC's;*
- *One of the Nine key result area deals on the formulation and development of a Regional TCS/PIP program for the partnering REC's.*

TRANSPORT AND COMMUNICATION STRATEGY AND PRIORITY INVESTMENT PLAN (TCS /PIP)

- *SCOPE OF WORK :*
 - *Covers all the intervention areas required for the development of a Regionally integrated , comprehensive Transport and Communication Policy, Strategy and PIP Program and Regional system which will address :*
 - *Policy harmonization and Regulatory framework*
 - *Dealing with services provisions and standardization,*
 - *Infrastructure networks Development plan for :-*
 - Transport*
 - ✓ *Airports,*
 - ✓ *Roads,*
 - ✓ *Railways,*
 - ✓ *Maritime*
 - ✓ *sea ports,*
 - ✓ *harbors, Inland Water ways ,*
 - ICT*
 - ✓ *Telecommunications*
 - ✓ *Information technology*
 - ✓ *Posts*

PROCESS FOR THE DEVELOPMENT OF THE TCS /PIP PROGRAM

❖ *TOR DEVELOPMENT*

- ❖ *IN PROCESS SINCE 2003*
 - *CONSULTATION WITH REC'S*
 - *VALIDATION WORKSHOP*
 - *ORIGINALLY PREPARED FOR EDF*

❖ *LATE INCLUSION (2007) AS RIDER UNDER RISP –Result 9*

❖ *FINANCED FROM EC CONTRIBUTION AGREEMENT*

❖ *Scope and coverage of work*

- *Covering all sub-sectors of the TC*
- *Need for pooling of resources in multi disciplinary professions*
- *Looking result areas holistically in a comprehensive way*
- *Need to incorporate on-going studies and programs*

OVERALL KEY INTERVENTION AREAS OF THE TCS/PIP PROGRAM

The five key expected result areas of the program are:

- Result 1 TCS based on the *current problem situation analysed, diagnostics country assessment, discussed and policy and strategy statements agreed upon by RIO's and member States;*
- Result 2 PIP developed containing individual projects and an appraisal and decision making process, including criteria and methodologies for project selection and prioritisation;
- Result 3 PFF and its functions to cater for the funding of the implementation of all individual proposals of the Priority Investment Plan (PIP) including *identification of financing sources, mechanisms, and instruments , development of methodologies for project appraisal, selection and prioritisation; and operationalization of (short term rolling) Priority Investment Plan (PIP)*
- Result 4 MIS designed to ensure that relevant *RIO management and member states are continuously informed* about the adequate progress of the implementation process;
- Result 5 *Adequate capacity building* conducted to have all relevant staff in the appraisal, monitoring and evaluation process of the PIP implementation professionally performing their duties.

RESULT 1: FORMULATION OF POLICY AND STRATEGY (TCS/PIP)

Result Area 1 : Development of a Regionally Integrated Transport and Communications Policy and Strategy (TCS) based on the **current problem situation, discussed and agreed upon by RIO's and member States;**

Task I : Development of a Regionally Integrated Transport policy ;

Task II : Transport and Communications Strategy formulated;

- ✓ *Review of the policy and strategy of the **RIO's treaties, protocols and development strategies;***
- ✓ *Policy harmonization to contribute to the implementation of the **mandates of the four RIOs** to increase competitiveness, economic growth and poverty reduction through enhanced regional integration;*
- ✓ *Identification of **gaps in policy/strategy** and recommend on use of regional/international “best practice” ;*
- ✓ ***All modes of transport** (multi-modal and inter-modal) and communications;*
- ✓ ***Trade related** and transport linked to economic sectors;*
- ✓ *Address **cross-cutting issues** specific to transport and communications*

RESULT 2 : PRIORITY INVESTMENT PLAN (PIP)

Result area 2 : Setting a Priority Investment Plan (PIP) containing individual projects and an appraisal for the decision making process, **including criteria and methodologies for project selection and prioritisation**

Task I : Development of scientific approach for project selection and criteria for prioritization;

Task II : Identification and Development of Regionally agreed Priority Investment plan

- ✓ *20 year regional level priority infrastructure investment plan (PIP)*
- ✓ *Covering the infrastructure **development programs and study needs** of the aviation, roads, rail, maritime, pipelines, telecommunications, broadcasting and postal service sub-sectors*
- ✓ *Recommend a set of economic infrastructure project investment **selection (screening), and prioritization** criteria, that gives priority to projects that best identifies their **level of readiness***

RESULT 2: PIP (Cont.)

- ✓ Development and application of financing mechanisms and instruments including *linkages to the COMESA Infrastructure Development Window*
- ✓ Identification of *potential resources* for programme financing
- ✓ Consideration of alternative financing structures such as *Private finance initiatives*, and
- ✓ *Public Private Sector Partnerships* (PPP) for sharing risk, capital investment and revenue distribution
- ✓ Development of a *GIS application* for descriptive presentation and analysis of the TCS priority investment projects and ultimately for future use on project evaluation

RESULT 3 : PROGRAM FINANCE FACILITY (PFF)

Result Area 3 : PFF and its functions to **cater for the funding** of the implementation of all individual proposals of the Priority Investment Plan (PIP)

- *Proposals on the **structure and institutional setup** for a Programme Finance Facility (PFF)*
- *Functions to cater for the funding of the implementation of all individual proposals of the Priority Investment Plan (PIP) including*
 - ❖ ***identification of financing sources***
 - ❖ ***mechanisms***
 - ❖ ***and instruments***
- *Development of **methodologies for project appraisal**, selection and prioritisation; and operationalization of (short term rolling) Priority Investment Plan (PIP)*

Result 4 : MANAGEMENT INFORMATION SYSTEM (MIS)

*Development of an Infrastructure management information and monitoring system (MIS) designed to ensure that relevant **RIO management continuously is informed** about the adequate progress of the implementation process;*

Compendium of PIP

Scoping Study

Web-based Infrastructure Database system

Result 5 : CAPACITY BUILDING

*Adequate capacity building program implemented to enable RIO's and all the relevant staff in the **project development cycles** of appraisal, monitoring and evaluation process for the TCS/PIP implementation to professionally perform their duties and mandates.*

TCS/PIP PROGRAM IMPLEMENTATION PROCESS AND STATUS

- *TENDERING AND PROCUREMENT PROCESS*
 - *ADVERTISEMENTS POSTED ON*
 - *COMESA, IGAD, EAC , IOC AND EC DELGATION WEBSITES*
 - *The Economist Magazine*
 - *The Economist Website*
 - *ONLY ONE BIDDER RESPONDED ON FIRST TENDER*
 - *THREE BIDDERS RESPONDED TO THE RETENDERED BID*
 - *TECHNICAL /FINANCIAL EVALUATION OF PROPOSALS*
 - *COMPOSED OF PROFESSIONALS FROM AND OUTSIDE COMESA*
 - *CONDUCTED TECHNICAL AND FINANCIAL EVALUATION ON PROPOSALS*
 - *RECOMMENDATION SUBMITTED TO POLICY ORGANS TO OFFER THE SERVICE*
 - *A SERIES OF CONSIDERATION BY THE BUREUX AND SUB-COMMITTEES*
 - *THE COMESA COUNCIL APPROVED / ENDORSED (IN DEC, 2008) THE RECOMMENDATION*
 - *CONTRACT NEGOTIATION ON 21-22 JANUARY 2009,*
 - *CONTRACT AGREEMENT SIGNED ON 05 MAY,2009*

TCS/PIP Steering Committee

- *Comprising of SC members from ;*
 - *COMESA, EAC, IGAD, IOC, SADC*
 - *EC Delegations*
 - *COMESA Chair of the SC and hosting the study*
- *Conducted Four Steering Committee meetings*
 - *First TCS/PIP Steering Committee meeting*
 - *First kick-of meeting of the SC on 25 May, 2009 - Mombasa, Kenya*
 - *Endorsed the Working Rules of Procedure/ TOR/*
 - *Back-to-back of JAM II meeting of IGAD*
 - *Dealt on :-*
 - *TCS/PIP Program development and TOR*
 - *Tendering and procurement process of the study*
 - *Code of practice*
 - *Way forward of TCS/PIP*

Second TCS/PIP Steering Committee meeting

- *Second SC meeting held in Nairobi, 16 August, 2009*
- *Back to back of the PIF meeting – IRCC*
- *Dealt on agenda items of:*
 - *Discussion on methodology and approach of the study;*
 - *Endorsement of the Inception report*
 - *Report on the TCS/PIP program and overall study progress*
 - *Way forward of TCS/PIP*
- *Guided the consultant and the project team :*
 - *To conduct macro-economic diagnostic base assessment at country and REC levels;*
 - *To prepare a user friendly questionnaire and approach to gather required information and data base for the PIP ;*
 - *To provide the necessary support required both at REC and national levels;*
 - *Each REC to facilitate the necessary support required at member states levels for a successful accomplishment of the study;*

TCS/PIP FIRST VALIDATION WORKSHOP AND THIRD STEERING COMMITTEE MEETING

- *Third SC meeting held in Vic Falls, Zimbabwe 20 Feb, 2010*
- *Back to back of the First Validation Workshop 18-19 Feb, 2010*
- *Dealt on agenda items of:*
 - *Discussed working papers;*
 - *Stake holders Validated the study documents on:*
 - *Draft Transport Policy*
 - *Draft Transport Strategy*
 - *Draft Prioritization Criteria*
- *Evaluated the progress made on the TCS/PIP program;*
- *Endorsed the Transport Policy and Strategy for adoption by the relevant Policy organs of each REC;*
- *Directed the Secretariat to make sure all review comments are properly incorporated to the draft final submissions;*
- *Reviewed the draft PIP and outline of the overall TCS/PIP study;*
- *Discussed on the way forward of the TCS/PIP program.*

TCS / PIP SECOND VALIDATION WORKSHOP
AND FOURTH STEERING COMMITTEE MEETING

- *Fourth SC meeting held in Kigali, Rwanda, 11 June, 2010*
- *Back to back of the Second Validation Workshop 9-10 June, 2010*
- *Dealt on agenda items of:*
 - *Presentation and Discussion on the working papers;*
 - *Validated the study recommendations of:*
 - *Draft Priority Investment Plan;*
 - *Draft Program Finance Facility;*
 - *Draft Revised Transport Policy*
 - *Draft Revised Transport Strategy;*
 - *Evaluated the progress report of the overall study;*
 - *Endorsed the study submissions and directed the Secretariat to proceed for presentation to policy organs;*
 - *Requested each REC to proceed with the process for adoption of the document as Regional Transport Policy , Strategy and PIP ;*
 - *Agreed to incorporate the agreed PIP as part of Regional and National plans and programs and to work together to mobilize resources;*
 - *Discussed on the way forward of TCS/PIP.*

Working Papers and Project Milestones expected as study Deliverables

Main Documents

- i - Draft Regional Transport Policy;***
- ii - Draft Regional Transport Strategy***
- iii - Draft Priority Investment Plan***

Working Papers / Monographs

No. 1: Definition of the Regional Perspective on Trans/Comms. Policy/Planning

No. 2: Formulation of an Integrated Transport and Communications Policy

No. 3: Cross-cutting Issues in Transport and Communications

No. 4: Economic Mapping of the Eastern and Southern Region

No. 5: Priority Project Selection / Readiness and Sequencing Criteria

No. 6: Formulation of an Integrated Transport and Communications Strategy

No. 7: RIO Capacity Building and Training Needs Analysis

No. 8: Financing

No. 9: GIS Application for TCS/PIP

SWOT : STRENGTHES WEAKNESSES THREATS OPPORTUNITIES

- Strengths :

- *Joint program of partnering REC's;*
- *Managed by policy guidance of the oversight of SC;*
- *Comprehensively integrated program;*
- *Addressing all modes of Transport;*
- *Complete program sequencing of the activities as key result areas;*
- *Developed through interactive consultation, questionnaire based project identification and technical validation by member states;*

- Weaknesses :

- *Inadequacy in using sample based sectoral country consultations,*
- *RIO level stakeholders validation not conducted as planned;*
- *Weak information sourcing and input data for project development;*
- *Prioritization criteria subject to availability of information and data;*

SWOT : STRENGTHES WEAKNESSES THREATS OPPORTUNITIES (Cont'd)

● Opportunities :

- *Working within the framework of Tripartite arrangement of the COMESA/EAC/SADC agreement;*
- *Used as source / model case for ESA Region efforts towards Policy Harmonization and program integration;*
- *Agreement as general direction to make use of inputs from all REC 's and member stets;*
- *Guided to make use of inputs from on-going studies, initiatives and on-going programs;*
- *To be used as source of input for project development for EDF financing, the PIF process and potential source information for financiers;*

● Threats :

- *Study more influenced towards Transport leading resulting in inadequately addressing with imbalanced coverage on the Communication part;*
- *Reduction of the study period from 24 to 12 Months to compensate the lose of time due to delay in tendering and procurement decision process;*

The Way forward

- *Dissemination and familiarization of the TCS/PIP outcomes at REC and member states level;*
- *Policy and strategy compliance assessment to identify gaps and remedies in the process for policy harmonization and program integration at REC and Member states level;*
- *Project profiling to lobby for financing and the conduct of pledgings for resource mobilization;*
- *Detailed work on the other key result areas on program financing, management information system and capacity building;*
- *Conduct training and special programs to enable the REC's to capably manage the TCS/PIP program sustainably;*
- *Networking of the study to feed into other continental, regional and national programs and studies.*



THANK YOU

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