

INTERNATIONAL WORKSHOP, Ghana.

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Alternative Surfacing Technologies for Low-Volume Sealed Roads (LVSR) + Case Studies

*by Charles Overby,
Consultant*

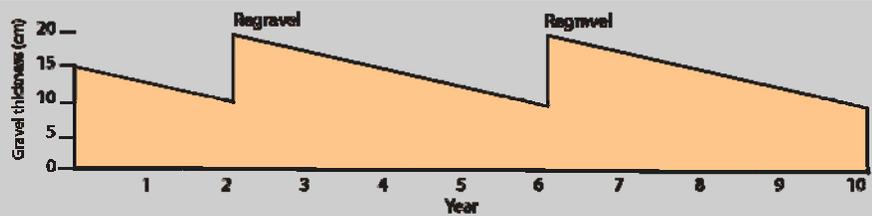
Format of the Presentation:

- **Introduction**
 - **Types and performance characteristics**
 - **Properties and function of surfacings**
 - **Selection of surfacing type**
 - **Surfacing design and construction**
 - **A brief of the Otta seal**
 - **Case histories**
-



The continuous exploitation of a non-renewable gravel source is an unsustainable approach.

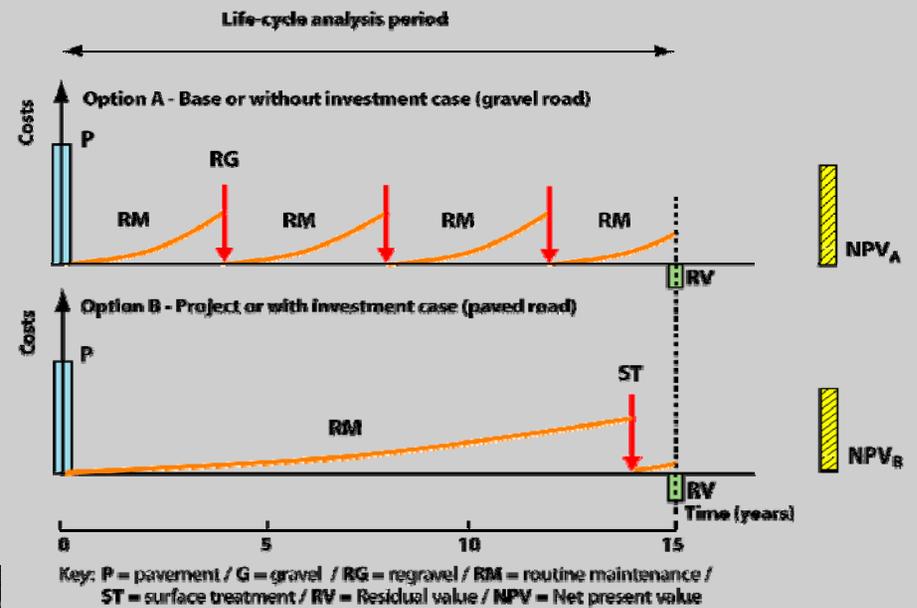
There is a strong need to move towards a more ecologically sustainable development.



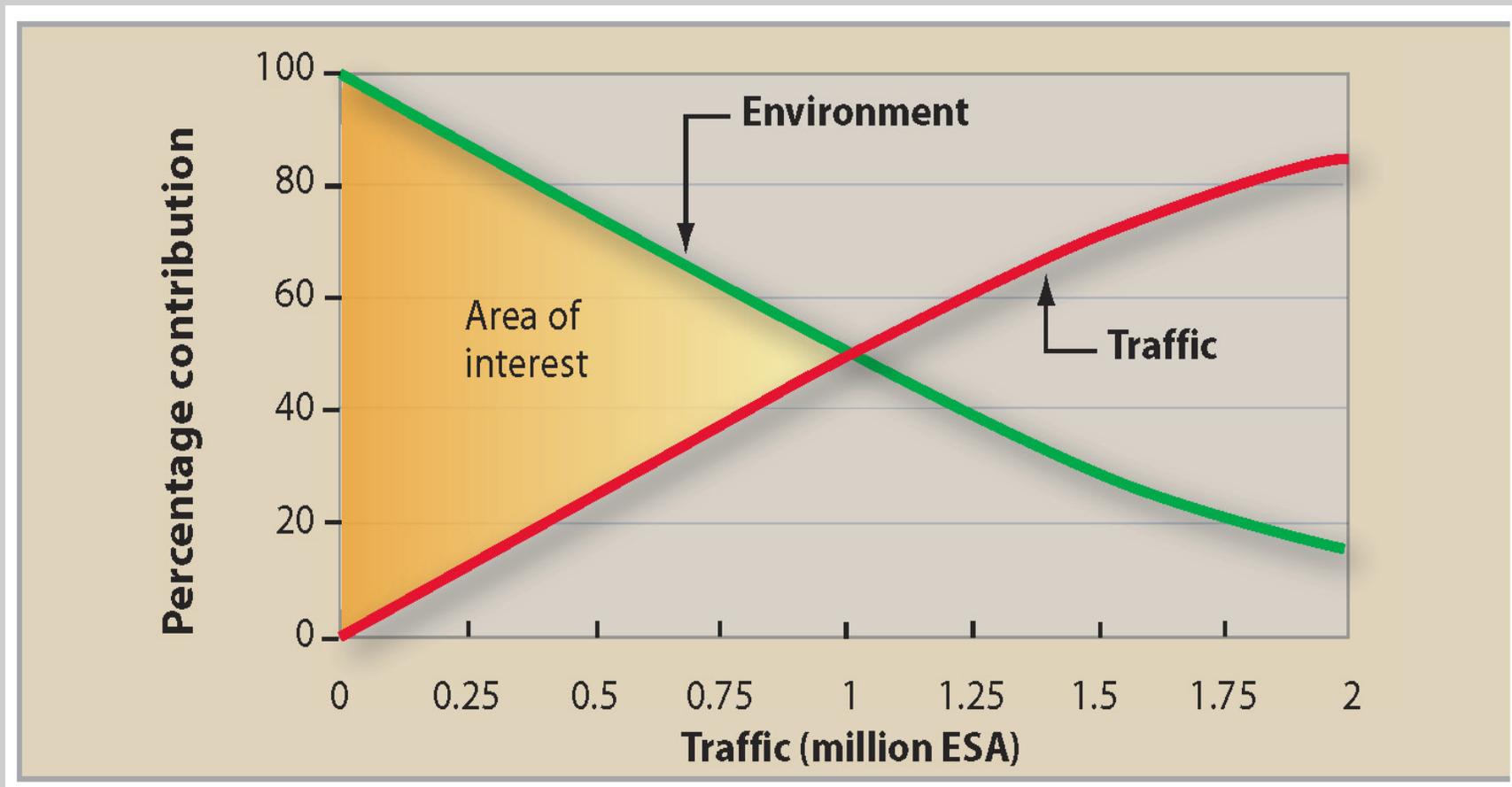
(a) Gravel thickness with preventative maintenance (timely re-gravelling).



(b) Gravel thickness without preventative maintenance (no timely re-gravelling).



Traffic Loading versus dominant mechanism of distress

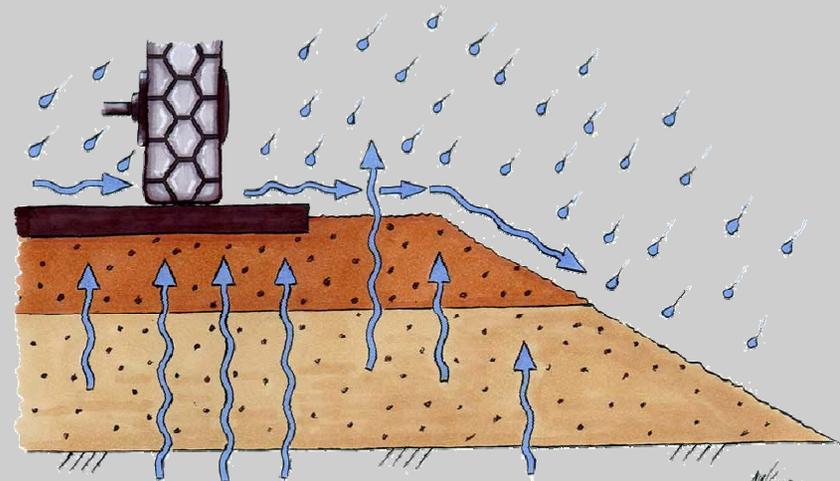


Function of a Bituminous Surfacing



Poor gravel → Good gravel

AWP/2000



Even "poor base gravel" may behave well when protected



A “surfaced” road from the Roman empire.

Old Strip road, Zimbabwe



Strips 1,0 m wide

Total road width 5,0 m

Mat road, Zimbabwe



Otta Seal, Botswana



**6,7 m wide carriageway
with 0,75m wide sealed
shoulders.**

Role and Function of Bituminous Surfacing

- Provision of a durable, impervious surfacing which seals and protects the pavement layers from moisture ingress and consequent loss of pavement strength and degradation;
 - Provision of a **skid-resistant** surface which can resist the abrasive and disruptive forces of traffic and **the environment**;
 - Prevention of the formation of corrugations, dust and mud which generally permits relatively safe travel at higher speeds and lower vehicle operating and maintenance costs.
-

Economy

For all type of seals a life-cycle costing should always be carried out.

The assesement of only constrction cost does not give the true picture.



Surfacing types

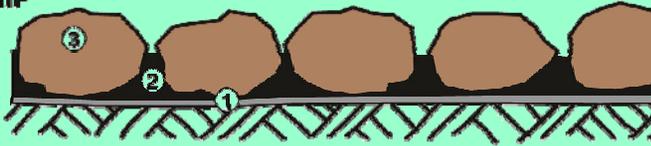
SAND SEAL

- 1 Prime
- 2 Binder
- 3 Sand



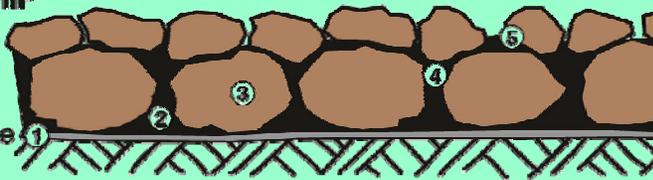
SINGLE CHIP SEAL

- 1 Prime
- 2 Binder
- 3 Stone



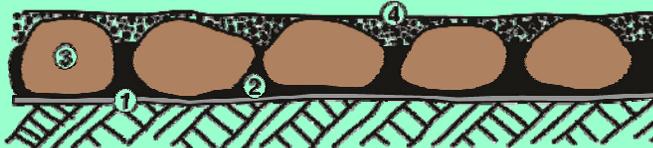
DOUBLE CHIP SEAL

- 1 Prime
- 2 Binder
- 3 Large stone
- 4 Binder



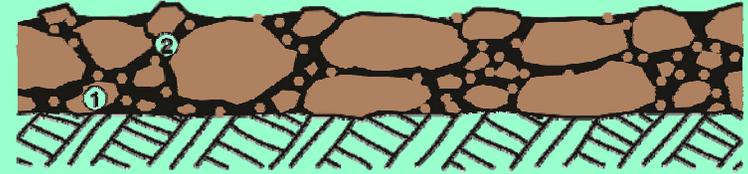
CAPE SEAL

- 1 Prime
- 2 Binder
- 3 Stone
- 4 Slurry



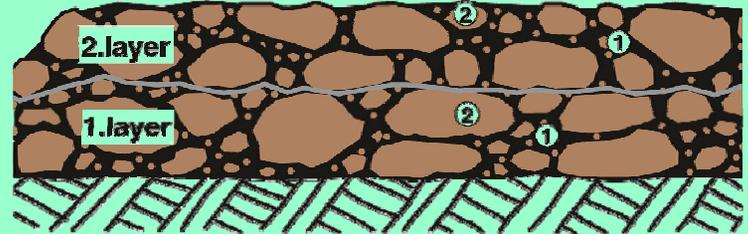
SINGLE OTTA SEAL

- No Prime
- 1 Binder
- 2 Graded aggregate



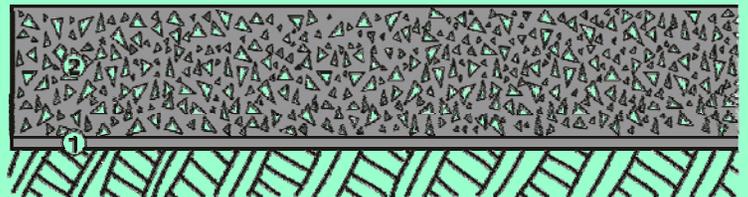
DOUBLE OTTA SEAL

- No Prime
- 1 Binder
- 2 Graded aggregate

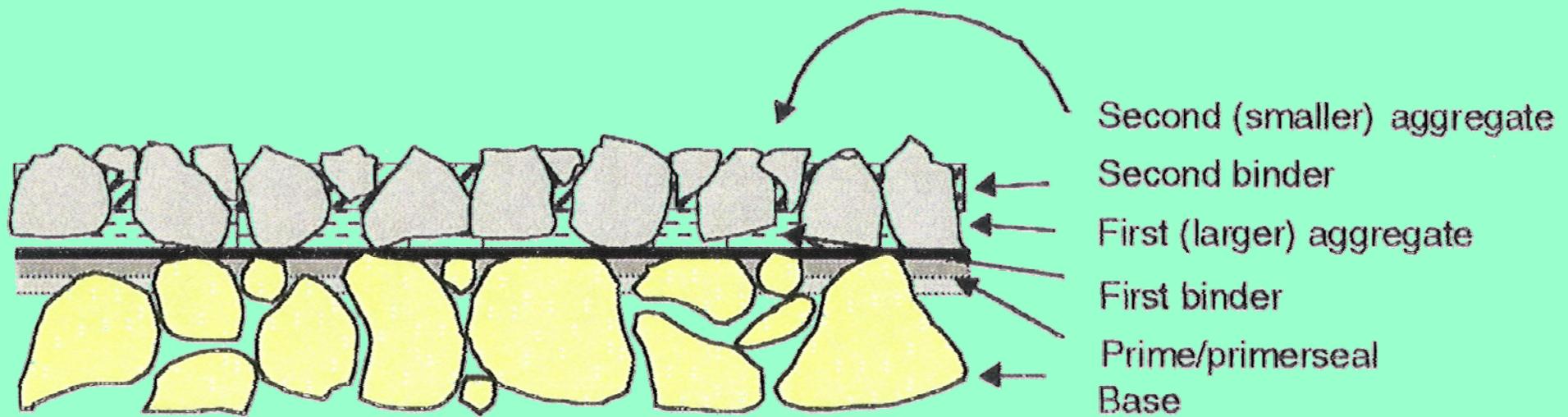


ASPHALT CONCRETE

- 1 Prime
- 2 Asphalt Premix



Double chip seal, details



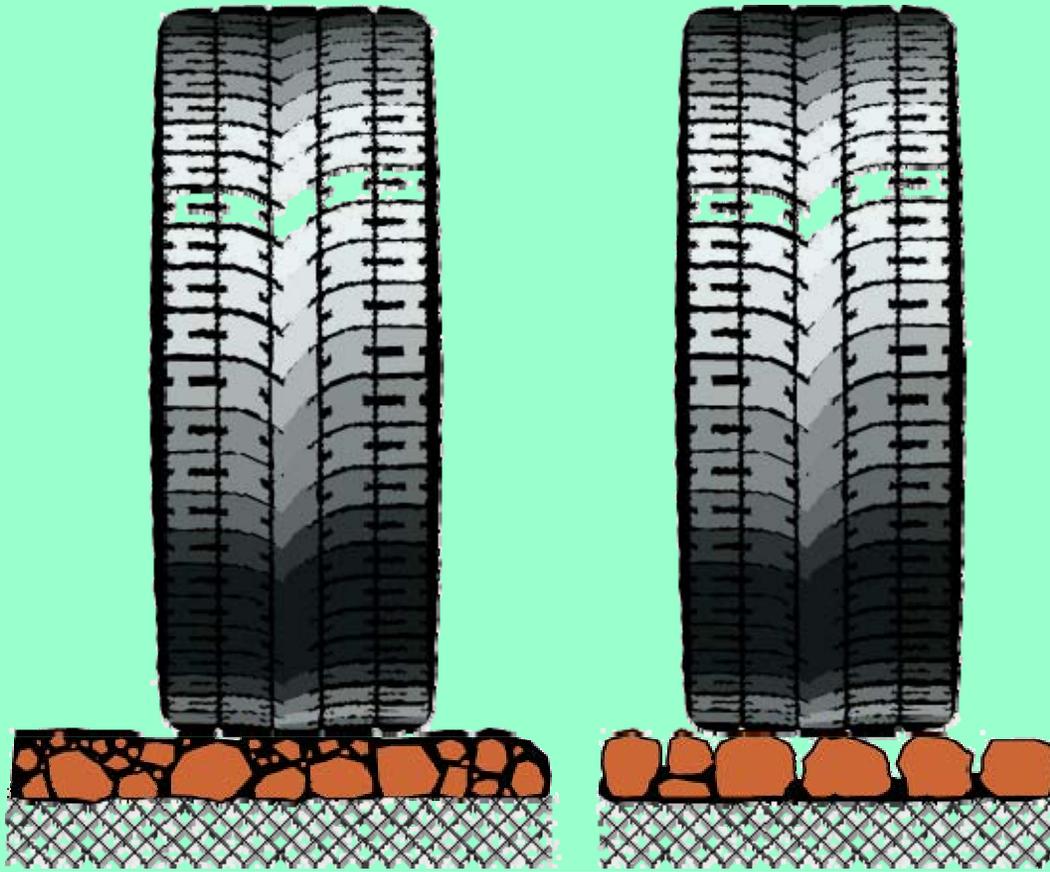
Types of sprayed seals



Other types of sprayed seals – or combinations

- **Slurry seal**
 - **Single chip seal + Sand seal**
 - **Penetration Macadam + Sand seal (if key stones are small size aggregate)**
 - **Single Otta Seal + Sand seal**
 - **Brick or Block paving**
-

Mechanism of performance



Category A type
of seal

Category B type
of seal

The Cape Seal is a hybrid
type of seal falling in
between category A and B

Relative difference in required properties between various surface types

Parameter	Category A	Category B
Aggregate quality	Relaxed requirements in terms of strength, grading, particle shape, binder adhesion, dust content, etc. Allows extensive use to be made of natural gravels.	Stringent requirements in terms of strength, grading, particle shape, binder adhesion, dust content, etc. Allows limited use to be made of locally occurring natural gravel.
Binder type	Relatively soft (low viscosity) binders are required.	Relatively hard (high viscosity) binders are normally used.
Design	Empirical approach. Relies on guideline and trial design on site. Amenable to design changes during construction.	Rational approach. Relies on confirmatory trial on site. Not easily amenable to design changes during construction.
Construction	Not sensitive to standards of workmanship. Labour-based approaches relatively easy to undertake if desired.	Sensitive to standards of workmanship. Labour-based approaches relatively easy to undertake if desired.
Durability of seal	Enhanced durability due to use of relatively soft binders and a dense seal matrix.	Reduced durability due to use of relatively hard binders and open seal matrix.

Typical range of Service Lives of Bituminous Surface Treatments

Type of seal	Typical range of service life (years)
Sand Seal	2 - 4
Slurry Seal	2 - 6
Single Chip Seal	4 - 6
Double Sand Seal	6 - 9
Double Chip Seal	7 - 10
Single Otta Seal + Sand Seal	8 - 10
Cape Seal (13mm + single slurry)	8 - 10
Cape Seal (19mm + double slurry)	10 - 14
Double Otta Seal	12 - 16

Some specifications for surfacing aggregates

Test Property	Botswana	South Africa	Zimbabwe (Traffic)	Australia (Traffic)
	-	-	(< 2x10 ⁶ ESA)	(AADT < 300)
10% FACT (kN)				
- Dry	> 210	> 210	> 120	> 135
- Wet/Dry ratio	> 0.75	> 0.75	> 0.65	> 0.60
Max. LAA (%)	-	-	35 25	-
Max. FI (%)	30	30	30	35
TBM Value	-	-	-	< 30
Unsound Stone Content (%)	-	-	-	8
Adhesion (R&W)*	< 1	-	-	< 2
Max(%) Sodium or magnesium sulphate soundness	-	-	20	12

* The scales used to describe the degree of stripping vary between countries.

How appropriate are existing aggregate specifications ?

Most of the spec. is “blanket type” spec. and they suffer from a number of shortcomings with regard to LVSRs.

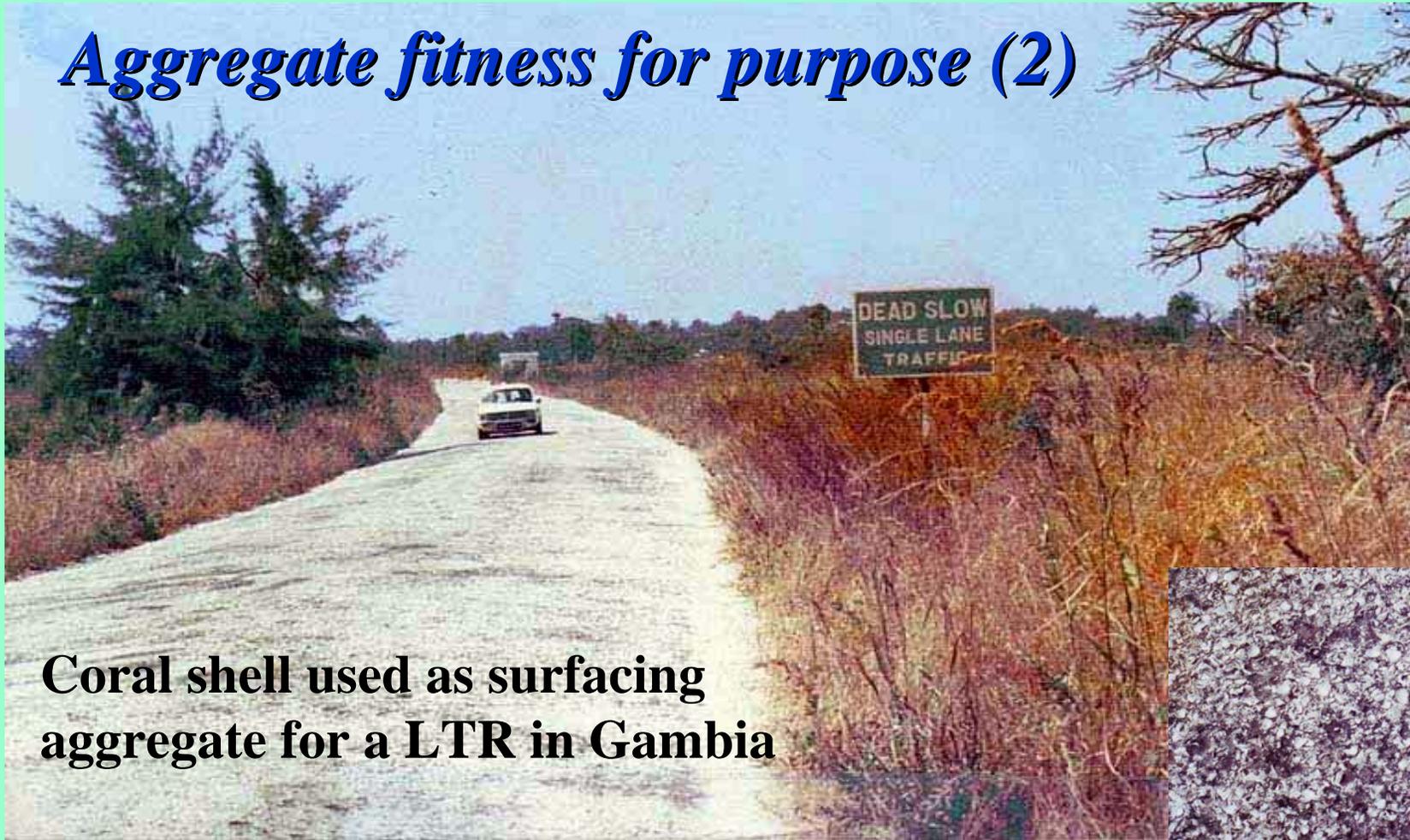
- They are seldom traffic related and rule out non-standard aggregates
 - They do not take into account the differing mechanism of performance for the different seal type
 - The relatively high strength requirement often 210kN
-

Aggregate fitness for purpose (1)



**Lime stone aggregate
used in the south east
part of Tanzania.**

Aggregate fitness for purpose (2)



Coral shell used as surfacing aggregate for a LTR in Gambia



Production of aggregate for bituminous surfacings

Type of seal	Type of aggregate	Winning and processing of materials
Surface dressing	Crushed stone or rock.	Crushing and screening.
Otta seal	Gravel, natural or crushed.	Stockpiling. Normally screening is also required.
Sand seal (used alone)	River sand (crusher dust may be used, but can be expensive).	Stockpiling (while river is dry). Screening out pebbles.
Sand cover seal (over Otta seals)	Any non-plastic sand.	Stockpiling if sand is not available along the roadside.
Slurry	Crusher dust.	Crushing and screening.

Aggregate availability (1)



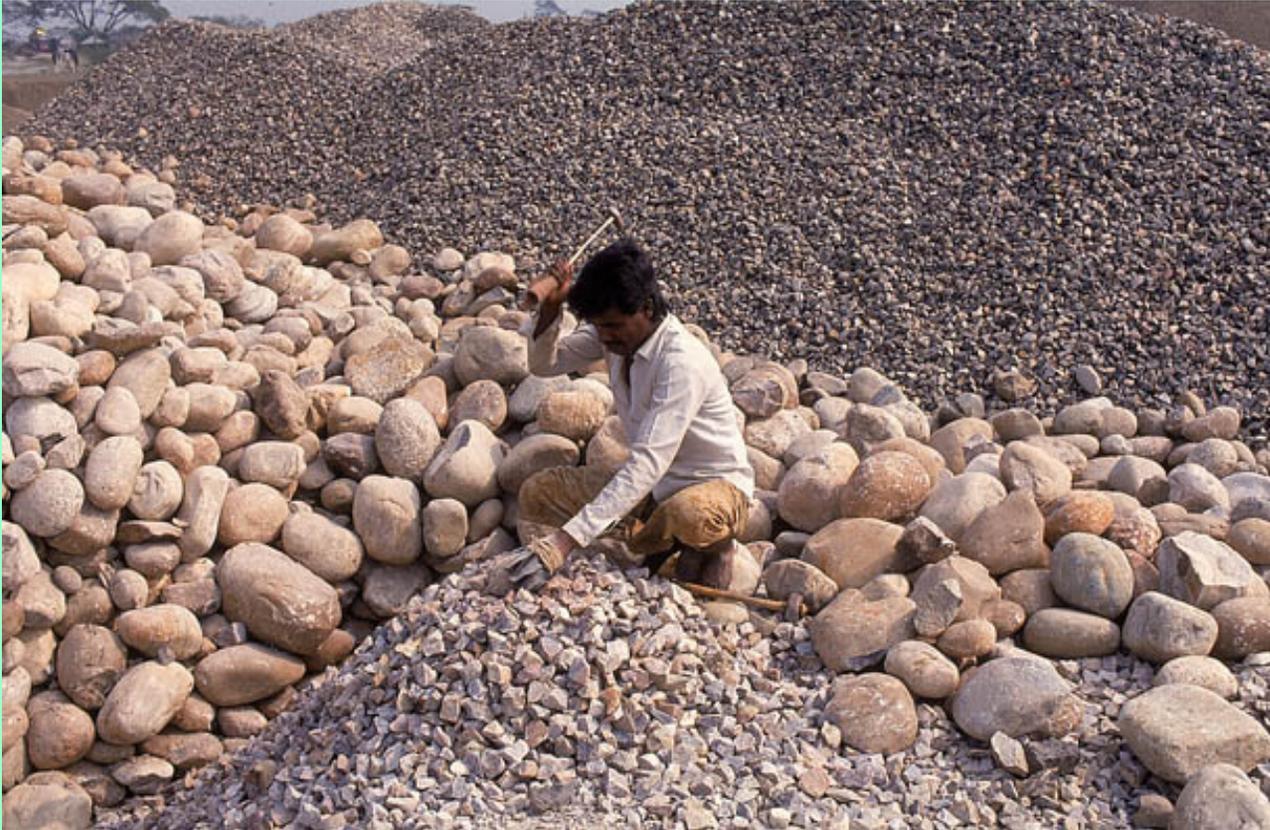
**Sofisticated crushing
plant**

Aggregate availability (2)



”As dug” (natural gravel) screened through a power screen.

Aggregate availability (3)



LBM to produce surfacing aggregate

Aggregate availability (4)



Labour Based aggregate production

Recommended revised specifications

Rs

Property	Design limits		
	Chip Seals		Otta Seals ¹
	Current	Proposed	
Strength 10% FACT (kN)	≥ 210	≥ 180 (>500 vpd) ≥ 150 (100-500 vpd) ≥ 120 (<100 vpd)	≥ 110 (> 100 vpd) ≥ 90 (<100 vpd)
Grading	As typically specified	As typically specified	Wide grading
Durability Wet/dry 10% FACT	≥ 75%	≥ 65%	≥ 75% (> 100 vpd) ≥ 65% (< 100 vpd)
Flakiness Index (%) 19.0 – 13.2 mm 9.5 – 6.7 mm	≤ 25 ≤ 30	≤ 35 ≤ 35	If crushed material used, ≤ 35 (weighted on 4.75 to 13.2 mm fractions)
Adhesion	R & W ≥ 3	No relaxation. Precoat if R & W < 3	
Water Absorption	-	≤ 5	Spray rate adjusted
Polished Stone Value	-	≤ 50 (> 500 vpd) ≤ 45 (< 500 vpd)	

1 – Otta Seal specifications should comply with the Botswana Roads Department Guideline No. 1.

Factors Affecting Choice

- **Type of pavement (strength, flexural properties, etc.);**
 - **Economic and financial factors (funds available, life-cycle costs, etc.);**
 - **Riding quality required;**
 - **Operational factors (traffic, surface stresses, geometry, etc.);**
 - **Safety (surface texture, interference with traffic, etc.);**
 - **Environmental considerations (climate, noise, etc.);**
 - **Construction and maintenance strategies;**
 - **Characteristics of available materials (aggregate, binder, etc).**
-

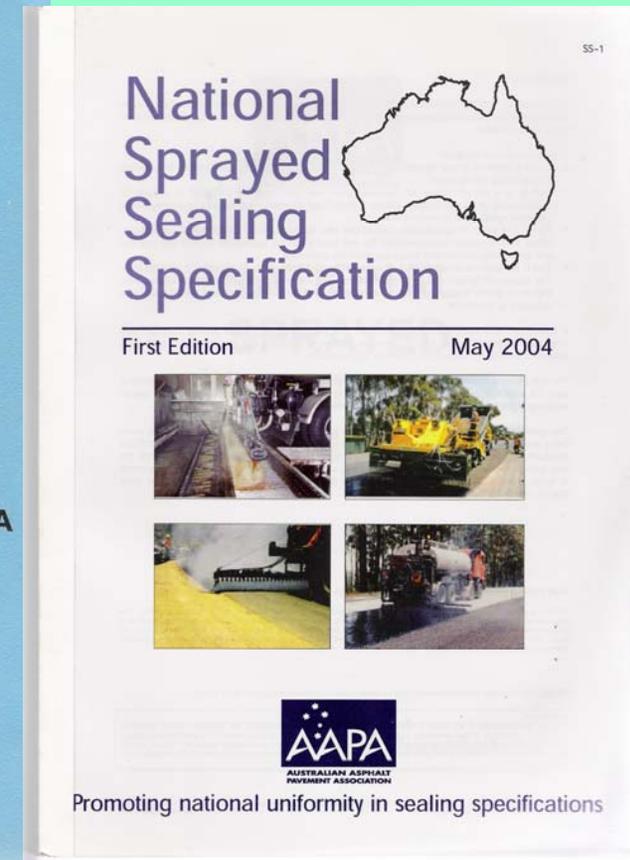
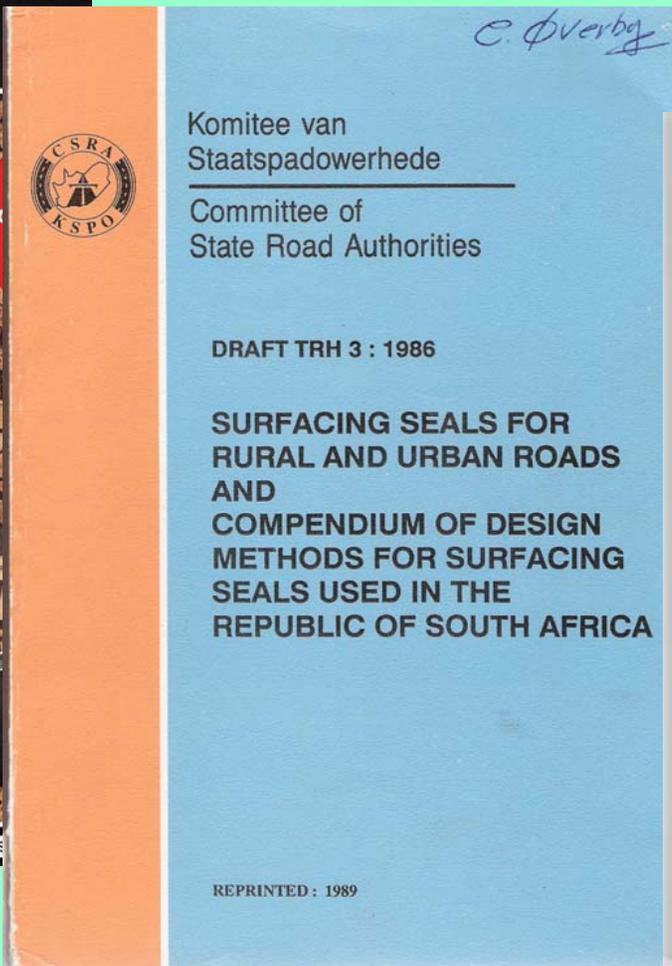
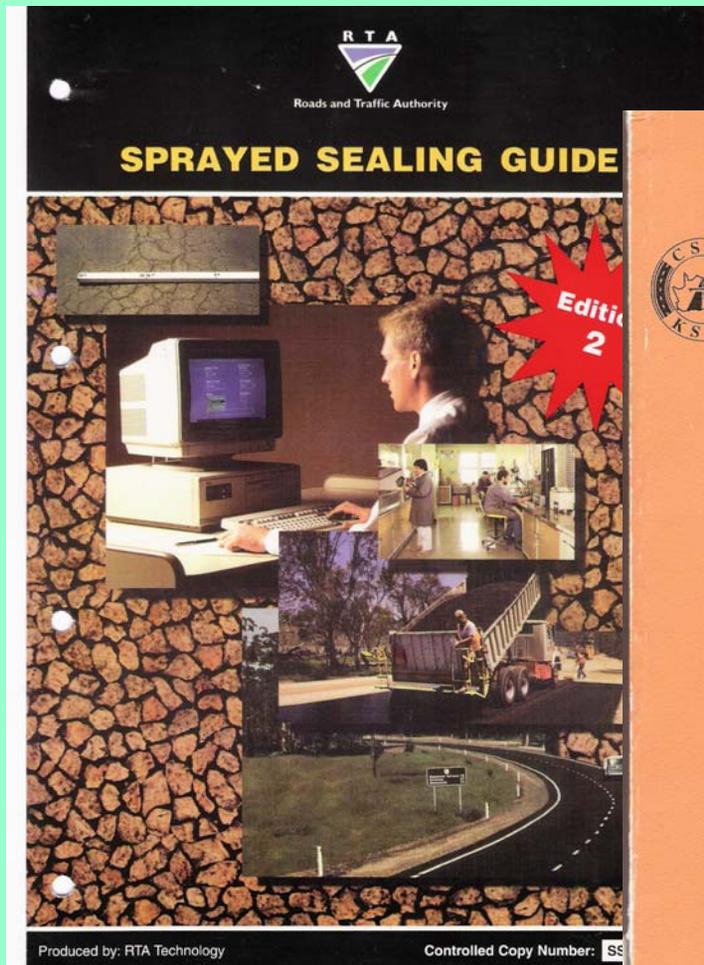
Seal selection based on marginal properties

Marginal Property	Recommended seals	Inappropriate seals
Grading	Otta, sand	Slurry, chip, Cape
Strength	Otta, sand, slurry	Chip, Cape
Durability	Otta, sand, slurry, Cape	Chip, slurry
Shape	Otta, sand, slurry	Chip, Cape
Dustiness	Otta, sand	Chip, slurry
Water absorption	Otta, sand	Chip, slurry

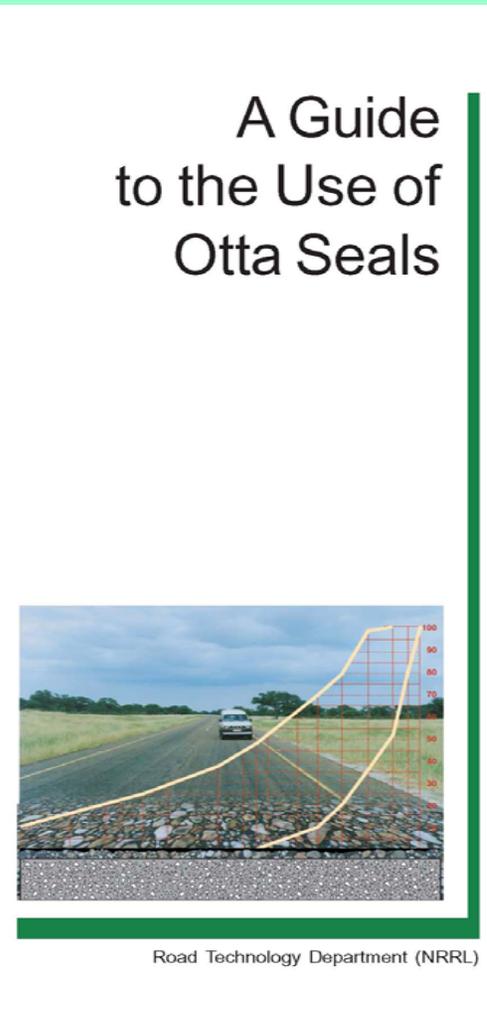
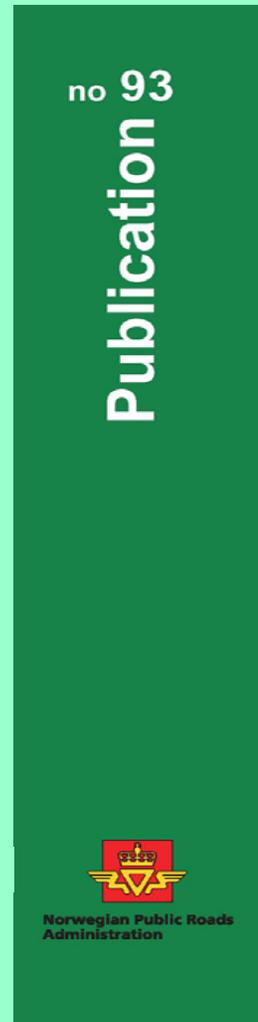
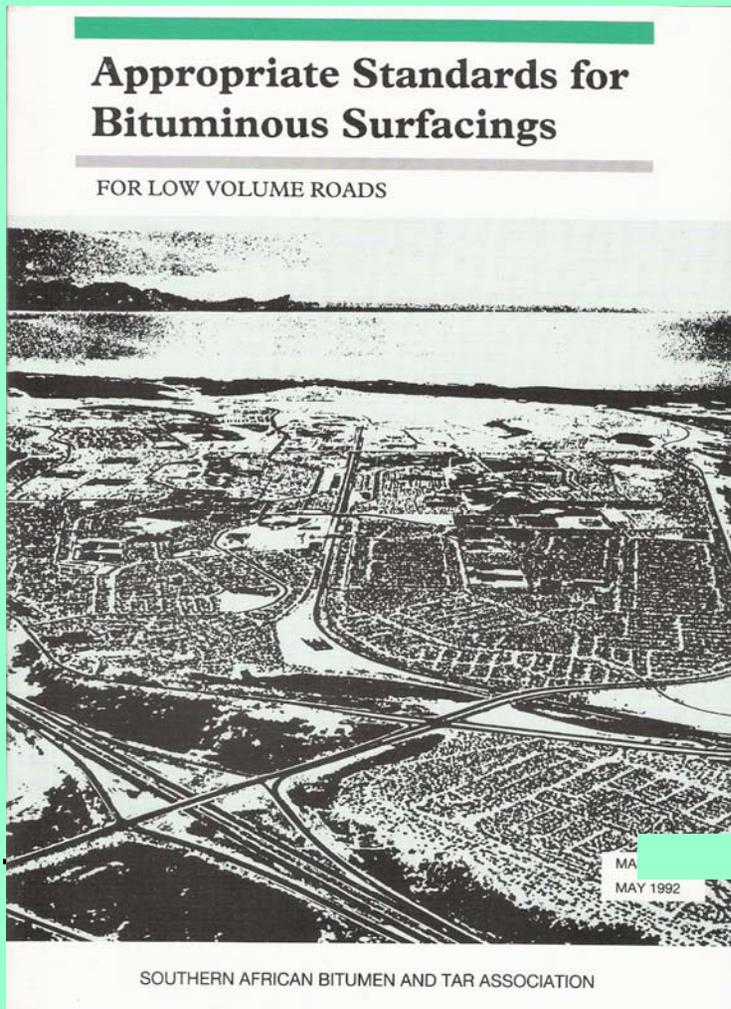
Relative construction costs of LVSR surfacings

Type of seal	Relative cost	
	With prime	Without prime
Sand seal	0.56	N/A
Slurry seal	0.85	N/A
Single chip seal	0.56	0.58
Double sand seal	0.90	0.70
Double chip seal	1.00	N/A
Single Otta seal plus sand seal	1.00	0.75
Cape seal (13mm + single slurry)	1.20	0.60
Cape seal (19mm + double slurry)	1.60	0.90
Double Otta seal	1.00	0.90

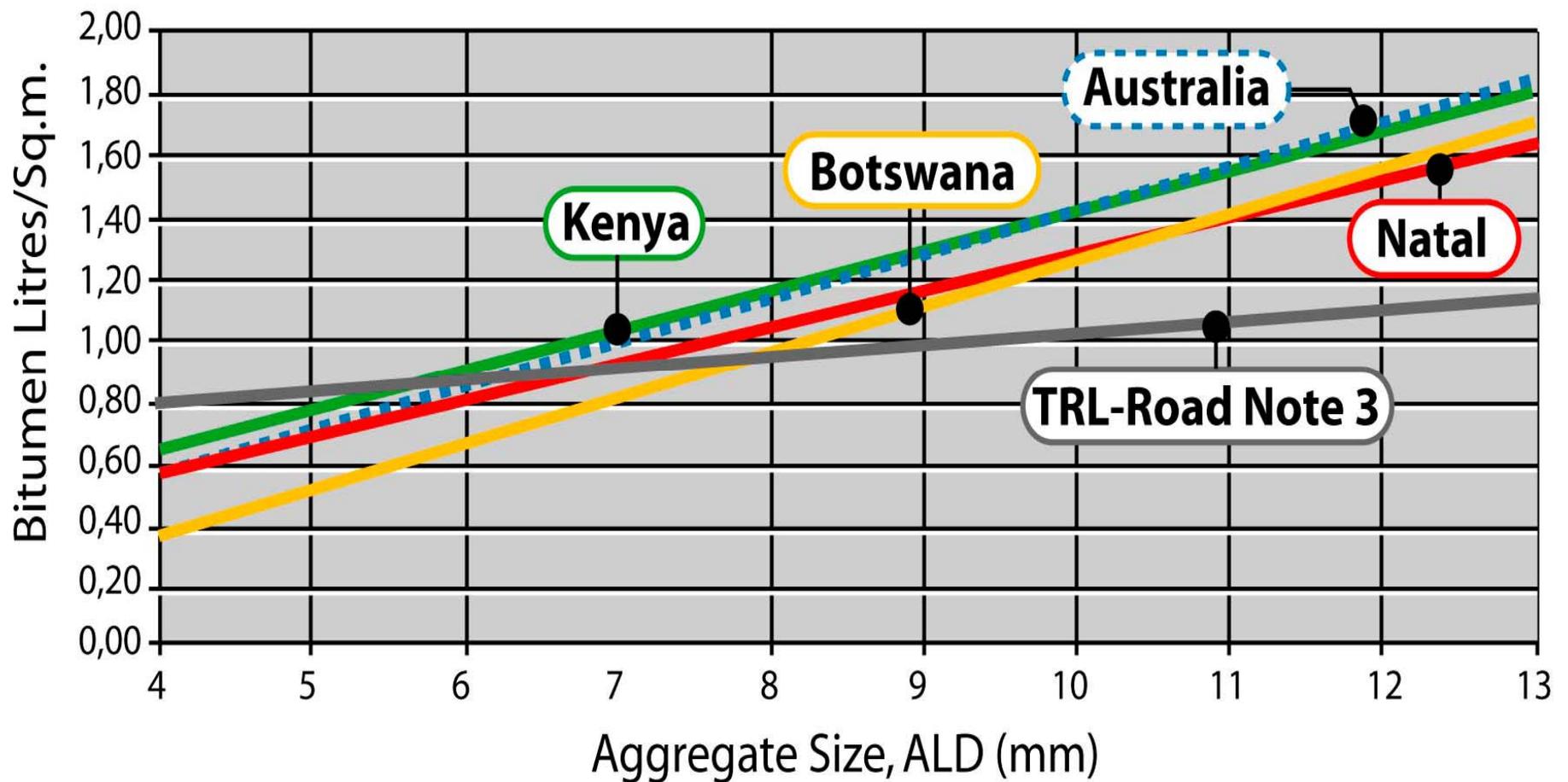
Surfacing Design on LVSRs (1)



Surfacing Design on LVSRs (2)



Comparison between a few SD designs



Surface seals fat or lean seals

Conventional Surface Dressing

Surface dressing is an area where practitioners often express strong and diverging opinions about how final result should look . The diverging opinions are likely to be caused by a large variety of expectations with regards to service life, aesthetics and skid resistance in wet weather (texture).

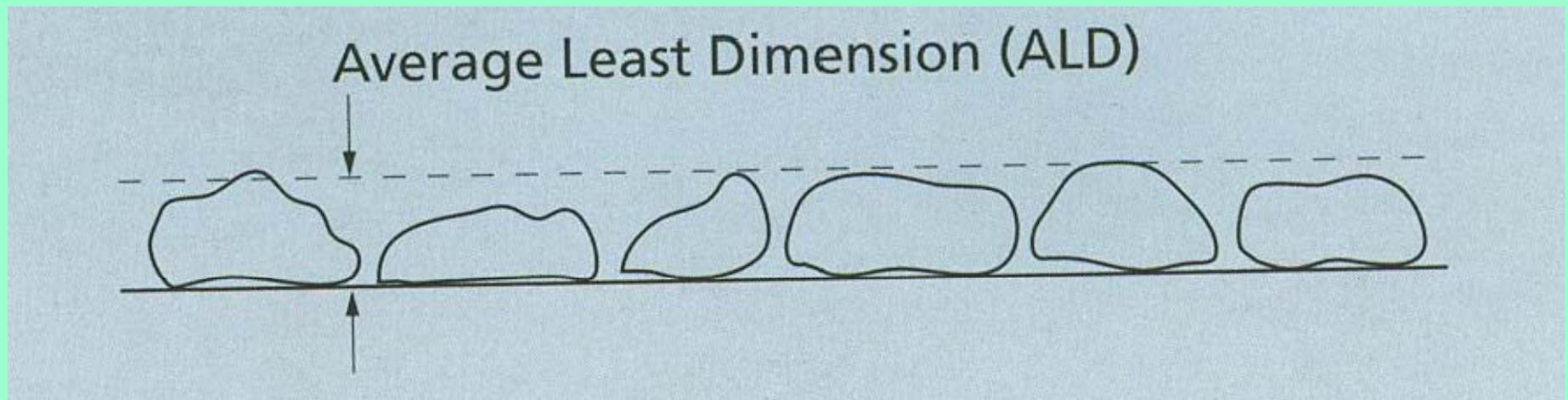
If long service life is important then: FAT seal is “good” (High in bitumen)

If aesthetics is important, then: LEAN seal is “good” (low in bitumen)

If skid resistance (wet) is important, then: LEAN seal is “good” (low in bitumen)

Most manuals Guidelines are based on Hanson’s theories about required proportions of binder filled volume of voids created by the shape and size of the aggregate.

Average least Dimension (ALD)

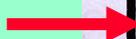


The ALD is the average vertical projection when the particles are lying on their longest dimension.

Comparison between the terms of bitumen.

Cutback Bitumen grades & Spraying Temperatures

Grade		Allowable viscosity Centistokes @60°C	Equivalent Cutter (%)	Temperature Range (°C)
Grade	ASTM equivalent			
AMC00		8-19	56	10 - 30
AMC0	MC30	20-59	44	35 - 55
AMC1	MC70	69-140	34	60 - 80
AMC2	MC250	150-499	27	75 - 100
AMC3	MC800	500-1499	21	95 - 115
AMC4		1500-4999	16	110 - 135
AMC5	MC3000	5000-11999	11	120 - 150
AMC6		12000-32000	7	135 - 160
AMC7			3	150 - 175





Newly placed sprayed surfacing. Note the gap between the aggregates which allow the 2nd seal to mesh in.

Construction of Bituminous Surface Treatments

Construction of the seal is practical manifestation of the planning, design phases.

The construction may in many circumstances be machine based or LBM based or a combination of these two.

Required input for achievement of a good result

	Required input for achievement of a good result <i>(Low - Moderate - High)</i>				
	Surface dressing	Otta seal	Sand seal	Slurry	AC 4)
Skills	Moderate	Moderate	Low	Moderate 3)	Un-suitable
Equipment, Spreading	Moderate	Moderate	Low	Low	Un-suitable
Equipment, Bitumen Application 1)	Moderate	Moderate	Low	Low	Un-suitable
Materials quality	High	Moderate	Low 2)	Moderate/ High	Un-suitable

- 1) A bitumen distributor is required for most sprayed seals. Hand sprayers are an alternative, especially when using emulsions, but spray rates need to be controlled. Mixing slurry in concrete mixers is preferred, even when laying by hand. Self-propelled slurry machines increase efficiency but at much higher cost.
- 2) Coarse sand, sometimes available by screening, can increase the material quality to “moderate” where sand seals are used alone as permanent seals. Where sand seals are used as cover seals, the material quality requirements can be reduced to “low”.
- 3) The selection and handling of bitumen emulsions, including proportioning and adjustment of consistency, increases the need for handling skills. Training is usually required.
- 4) Although included for comparison with other seal types, surfacing with AC is usually confined to areas with wet climates and/or steep terrain.

Labour friendliness for LBM

Activity		'Friendliness' for labour-based methods (<i>Good – Moderate – Poor</i>)				
		Surface dressing 1)	Otta seal 2)	Sand seal	Slurry 3)	AC 4)
Production of aggregate	Quality	Poor	Good	Good	Good	Poor
	Output	Poor	Good	Good	Poor	Poor
Construction of surfacing	Quality	Moderate	Good	Good	Good	Poor
	Output	Good	Good	Good	Moderate	Poor

- 1) Hand-crushing of aggregate for surface dressing tends to produce flaky chippings with some rock types.
- 2) Oversize and fines can be removed by hand screening of natural gravel aggregate for use with Otta seals.
- 3) Output of aggregate production for slurry (crusher dust) depends entirely on availability on the commercial market.
- 4) Although included for comparison with other seal types, AC would not normally be used on a LVSr.

The use of Mechanized equipment, Chip seal, South Africa



Sand seal by the use of LBM, Botswana



Rolling of a Sand seal

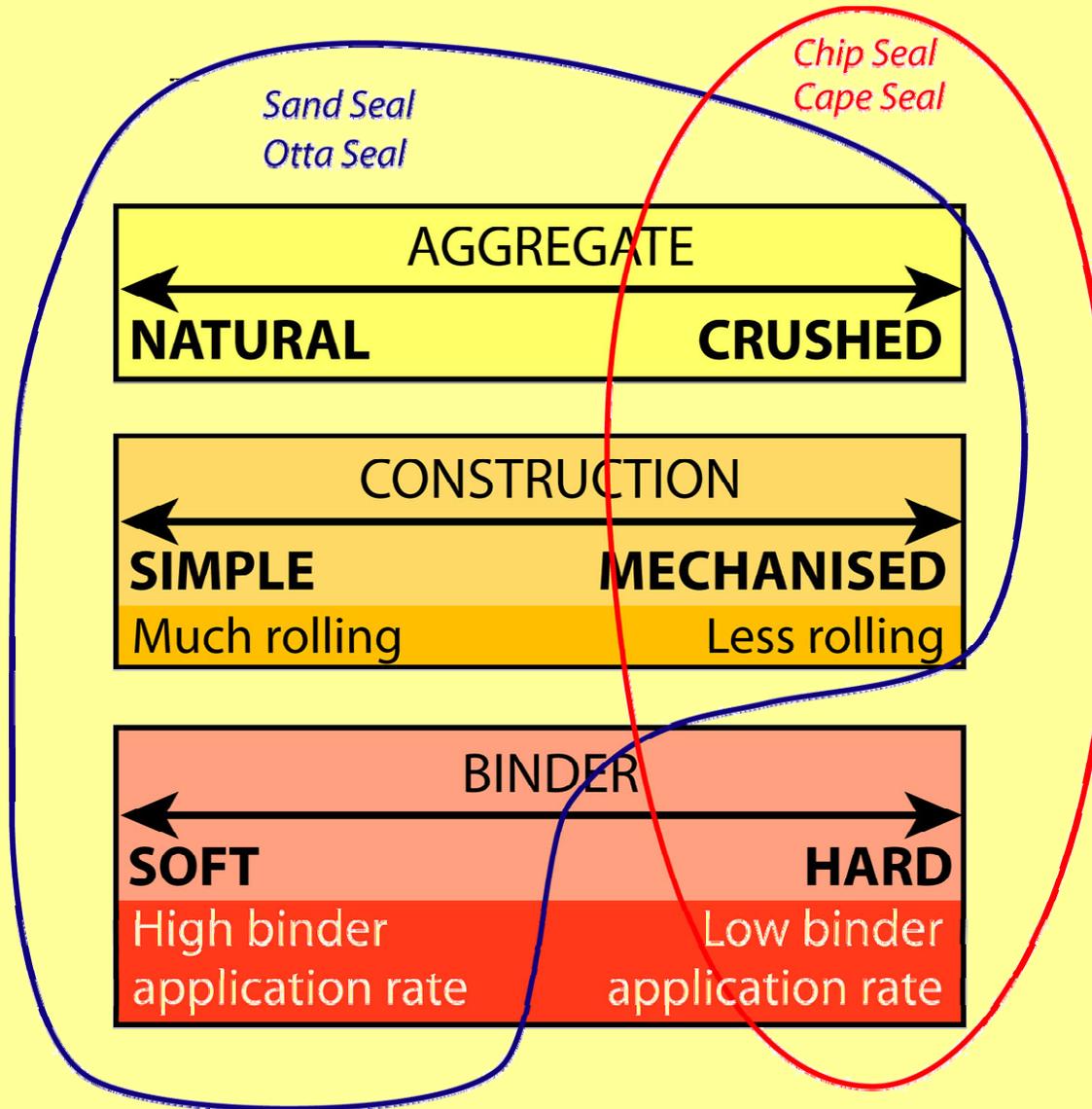


Sand seal on shoulder is often an advantage



- Arrest loss of aggregate
- Provide good surface for pedestrians and bicycles
- Give a desired visual contrast to the carriageway

Difference between Otta/Sand seal and Chip seal



Spreading of Otta Seal aggregate, Kenya and South Africa, two different methods, but in common they do allow a high porportion of fines



The end product.



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 - **Case histories (2)**
-

Before and after

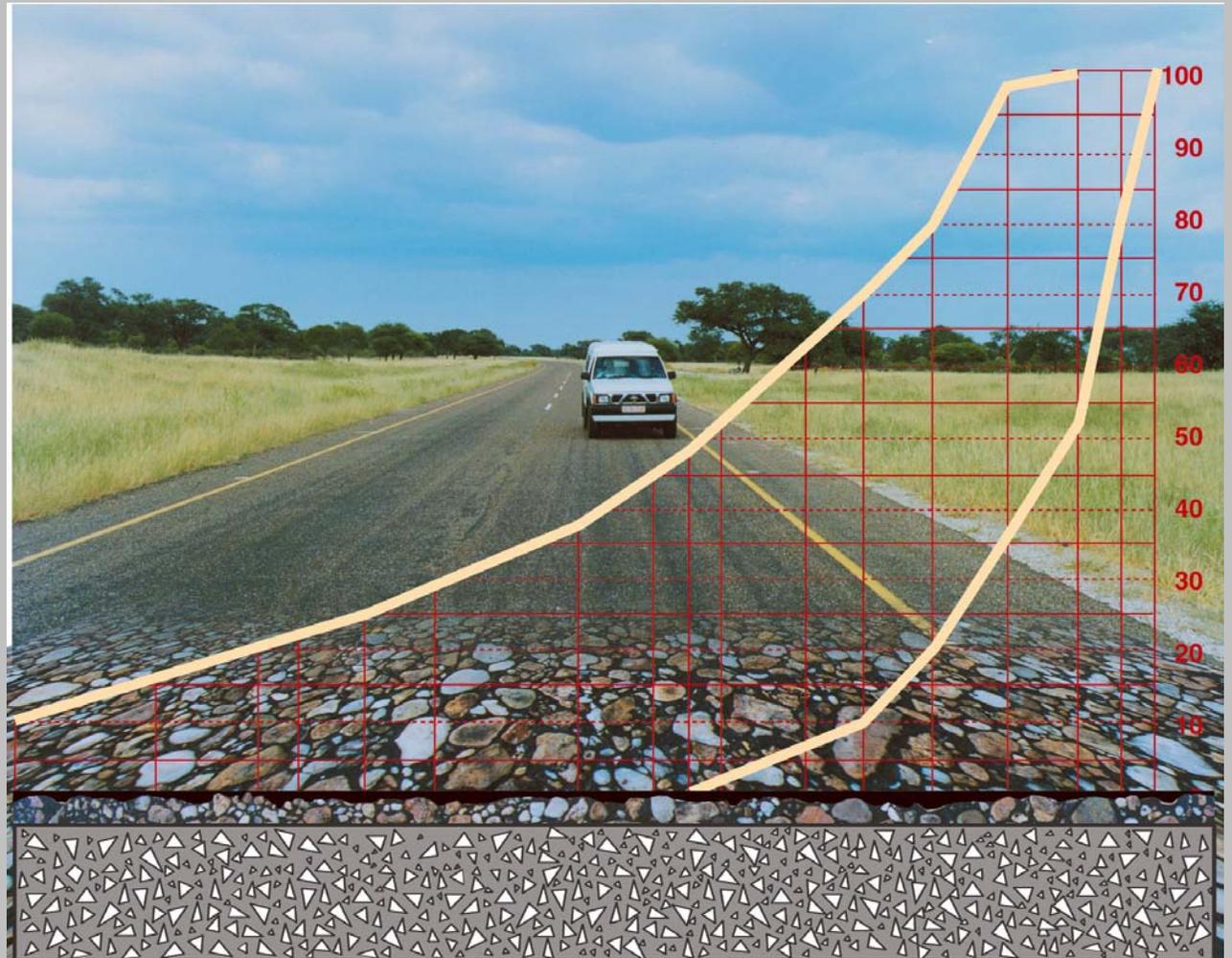


Botswana





A brief of the Otta Seal



Otta Seals

What is it ?

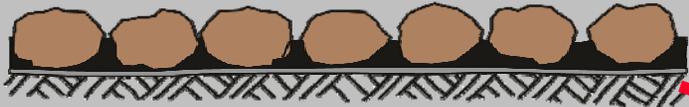
- ▶ **An Otta Seal is formed by placing graded aggregate on a relatively thick film of comparatively soft binders which, on rolling and trafficking, can work its way upwards through the aggregate interstices.**
- ▶ **In this manner, the graded aggregate relies on both mechanical interlocking and bitumen binding for its strength - a bit like a bituminous premix.**

Mechanism of Performance of Surfacing Types

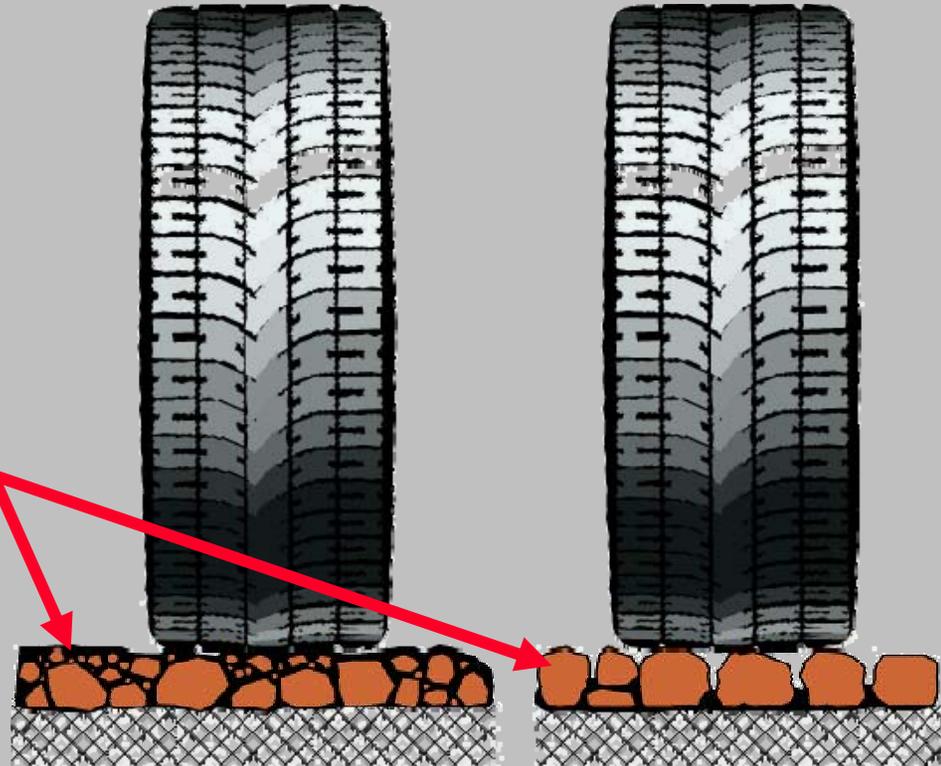
Single Otta Seal (0-16 mm)
Thickness 16 - 20 mm



Single Chip Seal (13,2 mm)
Thickness = ALD 8 to 10 mm



Under trafficking, the seal acts as a stress-dispersing mat comprised of a bitumen/aggregate admixture – a mechanism of performance which is quite different to that of Category B surfacings.



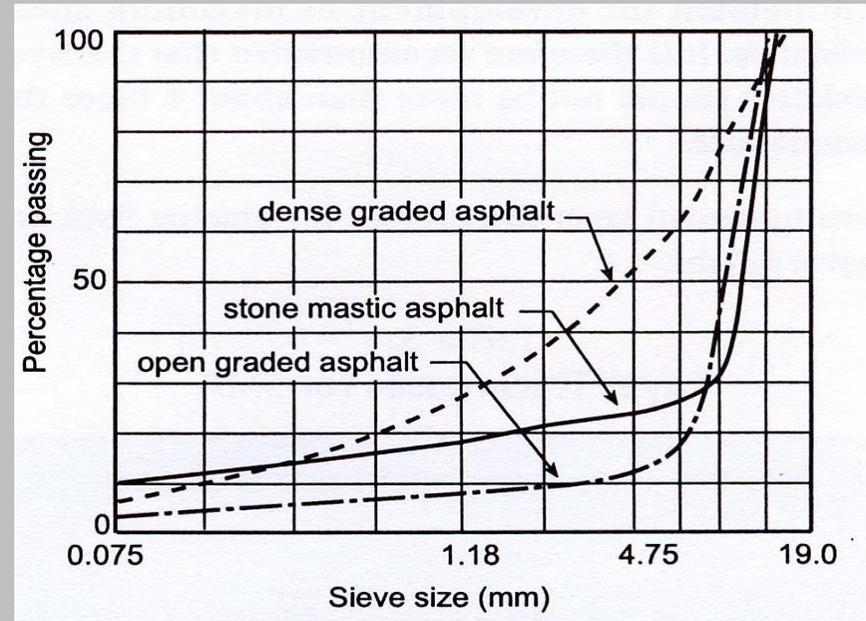
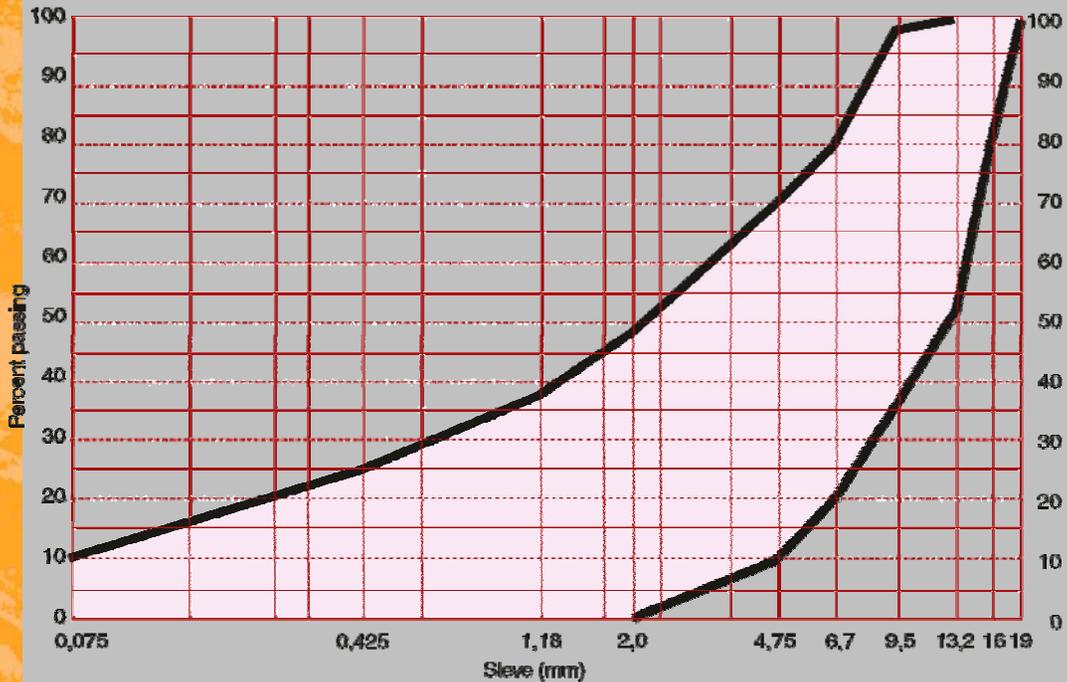
Performance Characteristics (1)

- ▶ **The texture of an Otta Seal is playing a vital role in it's performance.**
- ▶ **The dense textures as formed by many particles thick layer of aggregates where the interstices are filled with comparatively soft bitumen has been found to be very durable.**

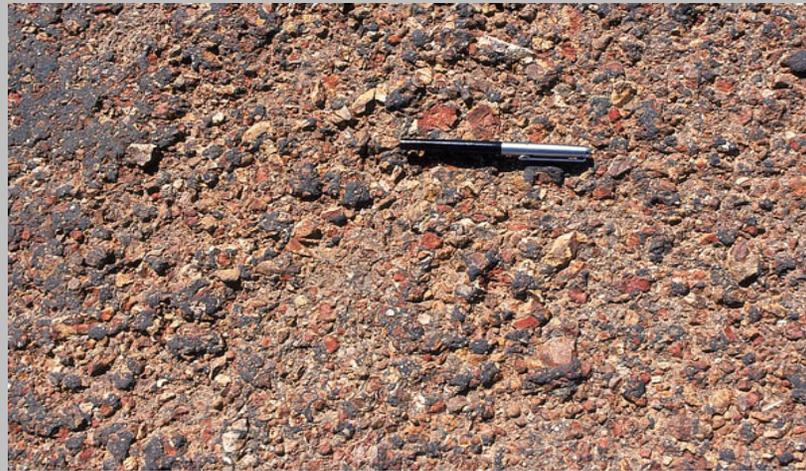
Performance Characteristics (2)

- ▶ **Often preferred on roads with low bearing capacity due to its flexible behaviour.**
- ▶ **It seems that the close-texture grading as formed by the Otta Seal concept is less susceptible to binder ageing than a chip seal.**

Grading Requirements



Aggregate used in Otta Seals



Thickness of an single Otta seal.



Otta Seals

Why ?

Economy

- ▶ **Construction cost**
- ▶ **Maintenance life time costs**

Construction costs

- **Reduced cost in aggregate production**
- **Hauling cost is reduced because of utilization of local materials**
- **In most cases prime is omitted**
- **In many cases surfacing operations costs are reduced**

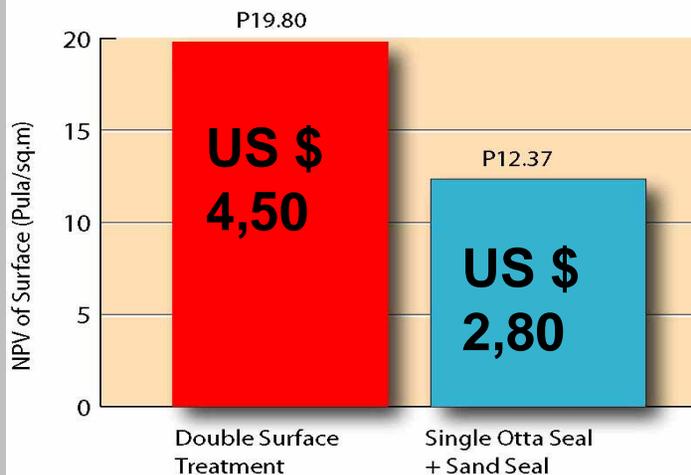
In general, this gives a cost saving in the magnitude of 20%. However, savings in the order of 35 - 40% have been reported.

Maintenance Intervention Life - cost comparison (1)

Life expectancy, activities and construction costs	Otta Seals		Double Chip Seal
	Single + sand cover	Double	
Life expectancy (years)	11	15	7
Maintenance activities	Reseal after 10 years. Road marking 3 times.	None	Fog sprays after 4 and 16 years. Reseal after 8 and 12 years. Road marking after each intervention (4 times).
Initial relative cost of construction	1.0	1.2	1.2

Maintenance Intervention Life-cycle cost comparison (2)

Cost Comparison: DST and Single Otta Seal + Sand



Cost ratio:
Otta Seal: DST = 0.60

For Botswana the cost savings in comparison with:

- Single Otta Seal with Sand cover Seal
- Double Chip seal

* **COST RATIO 0.60**

Over a period of 15 years, it has conservatively being estimated a saving of about US\$ 124 millions which roughly is estimated to be similar to the cost of a new trunk road standard 600 km long.

Otta Seals

How ?

- ▶ **Design**
- ▶ **Construction**
- ▶ **The maturation of
Otta Seals**

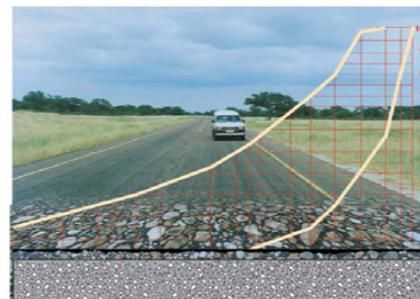
Publication no 93 from NPRA

no 93
Publication



Norwegian Public Roads
Administration

A Guide to the Use of Otta Seals



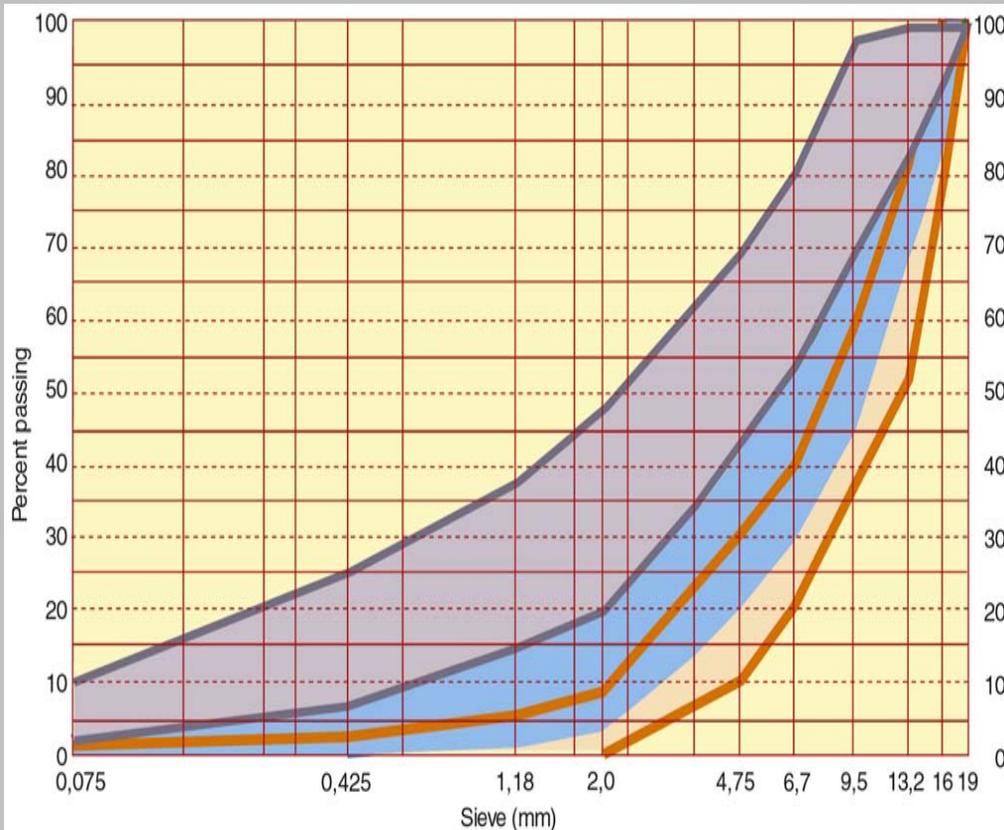
Road Technology Department (NRRL)

Pavement Strength

Like all other bituminous surface treatments, an Otta Seal will not contribute significantly to the structural strength of the pavement.

The pavement layers and drainage must therefore be adequately designed and constructed to withstand the expected traffic loading through its design life.

General Grading and Strength Requirements



AADT	Best suited grading
Less than 100	"Open"
100 - 1 000	"Medium"
More than 1000	"Dense"

Aggregate strength requirements	Vehicles per day at the time of construction		BS Test Designation
	< 100	> 100	
Min. Dry 10% FACT	90 k N	110 k N	BS 812
Min. Wet/Dry strength ratio	0,60	0,75	

Binders

Type of binders of paramount importance.

Correct viscosity range:

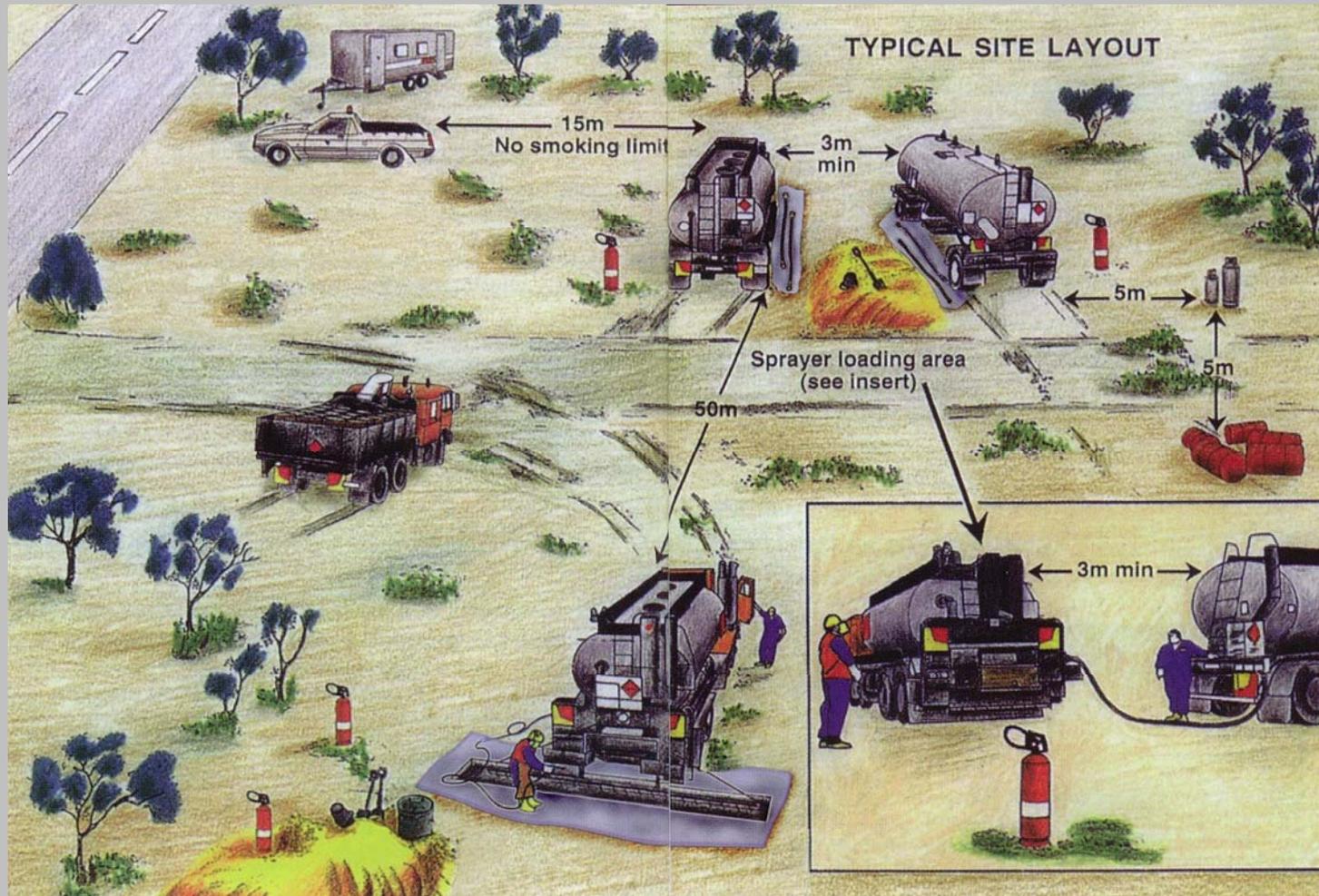
Normally MC 3000 or MC 800
but also pen. bitumen grade 150/200

**80/100 pen. bitumen grade
shall NEVER be used.**

**Unless cut back to 150/200
v.grade using engine oil,
used or new.**

**All cutting back can easily
be carried out on site
providing certain safety
measures are applied.**

Typical site lay-out for bituminous work.



Binders, cutting back on site to required viscosity



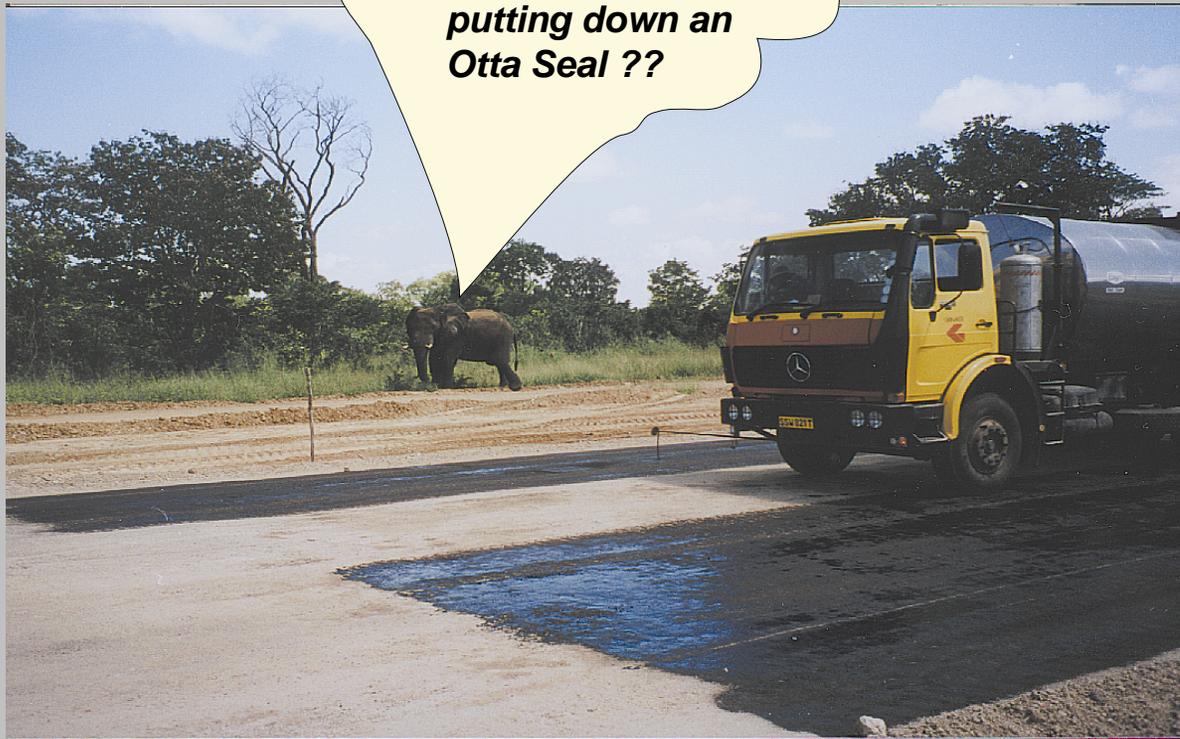
The appropriate type of binder and viscosity may be obtained by cutting back with engine oil and power paraffin on site.

Bitumen Hot Spray Rates

Traffic level at time of construction (AADT)	Hot spray rates (l/m ²)
< 100	1,8 – 2,2
100 - 500	1,8 – 2,0
> 500	1,6 – 1,8

Prime

I wonder if this priming is necessary, after all they are putting down an Otta Seal ??



On a calcareous type of base and on stabilised bases (cement/lime) prime is required.

Construction (1)



Preparatory work prior to sealing operations.

Construction (2)

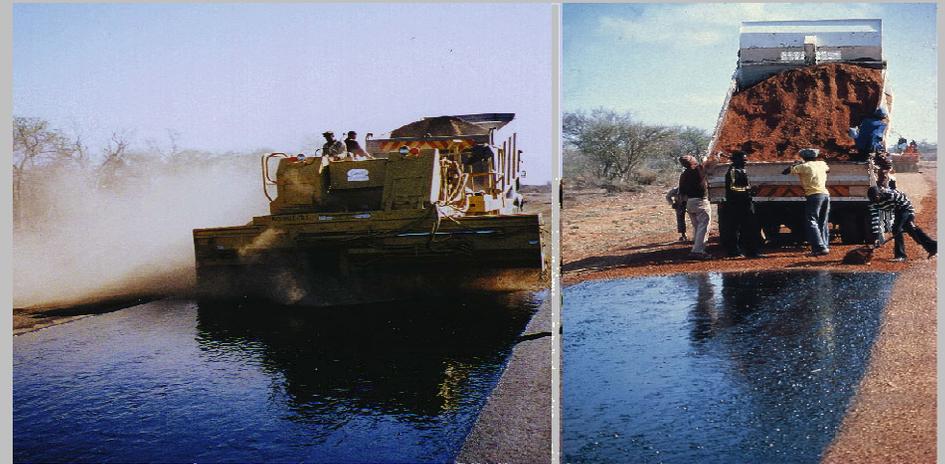


Light watering of the broomed base before spraying, the binder will enhance the bond between the surfacing and the base layer.

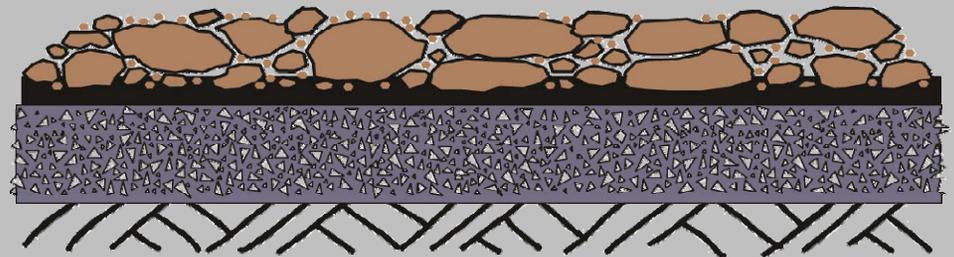
Fines and dust are allowed in an Otta Seal



Spreading of Aggregate



Aggregate application

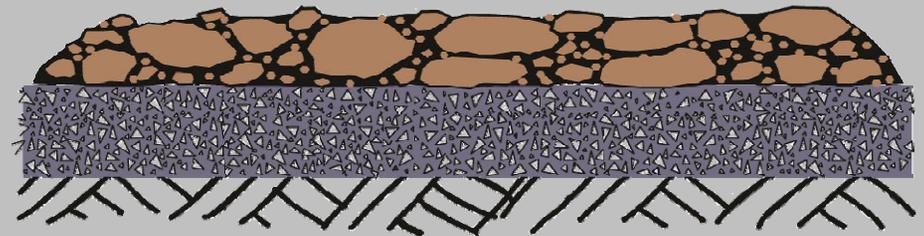


A wide range of aggregates can be used, fines included. Both mechanical chip-spreaders and Labour Based Methods can be used in the spreading of aggregate.

Rolling of Aggregate



Rolling



Excessive rolling with pneumatic tyre rollers is essential to achieve a good result. Sufficient rolling in the construction of Otta Seals can not be over-emphasised.

The Situation Immediately after Construction



The Situation After 1 - 2 weeks



3 - 4 weeks after Construction, some Excessive Aggregate has been Dislodged by Traffic



Sweeping back Dislodged Aggregate is a part of the "After Care Work".



Some Fatty up in the Wheel paths form a Normal part of the Curing process



The Situation Immediately after Construction, but after 8 – 10 weeks the situation changes.



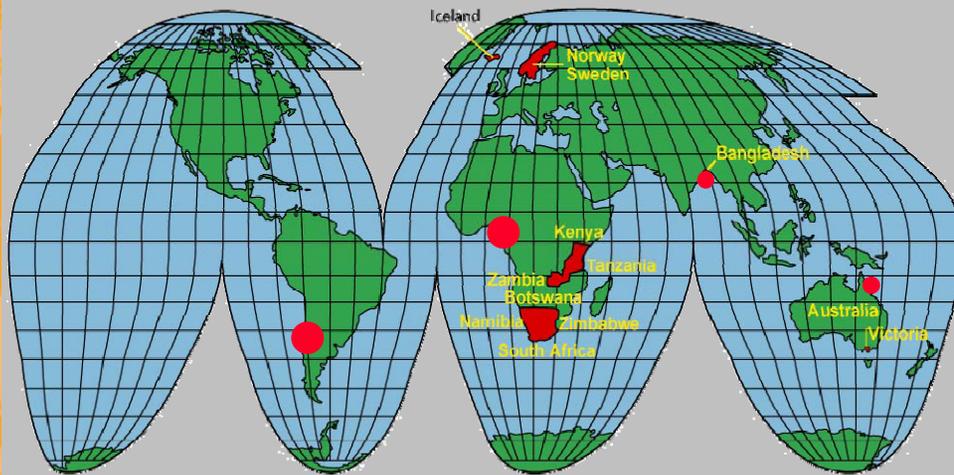
8 - 10 week after Construction



The Otta Seal Matrix



Where have the Otta Seal been used ?



Mali ??????????????

Country	Length	Comments
Norway	4000 km	In 1985 the figure was 12000 km
Sweden	4000 km	
Iceland	2000 km	
Kenya	500 km	
Botswana	3000 km	
Zimbabwe	80 km	Inclusive several trials
South Africa	One trial, 2 km	About 100 km to be Otta Sealed in 1999-2000
Bangladesh	20 km +	Only labour based methods used
Australia (Victoria)	Two trials ~2,2 km	
Namibia	Trial	
Tanzania	100 km	
Zambia	15 km	

For Tanzania and Zambia a number of road projects are planned with Otta Seal in 2003/04.

**Chile about 10 -15 km ...
and Ghana 6 km**

Conclusions

Has developed from being an economical “maintenance seal” to a fully fledged bituminous surfacing with no other limitations regarding traffic than one would apply to other sprayed bituminous surfacings.



Conclusions

The Otta seal method is an example of the innovative use of local, often marginal quality materials, in combination with appropriate bituminous binders to produce a durable surfacing.

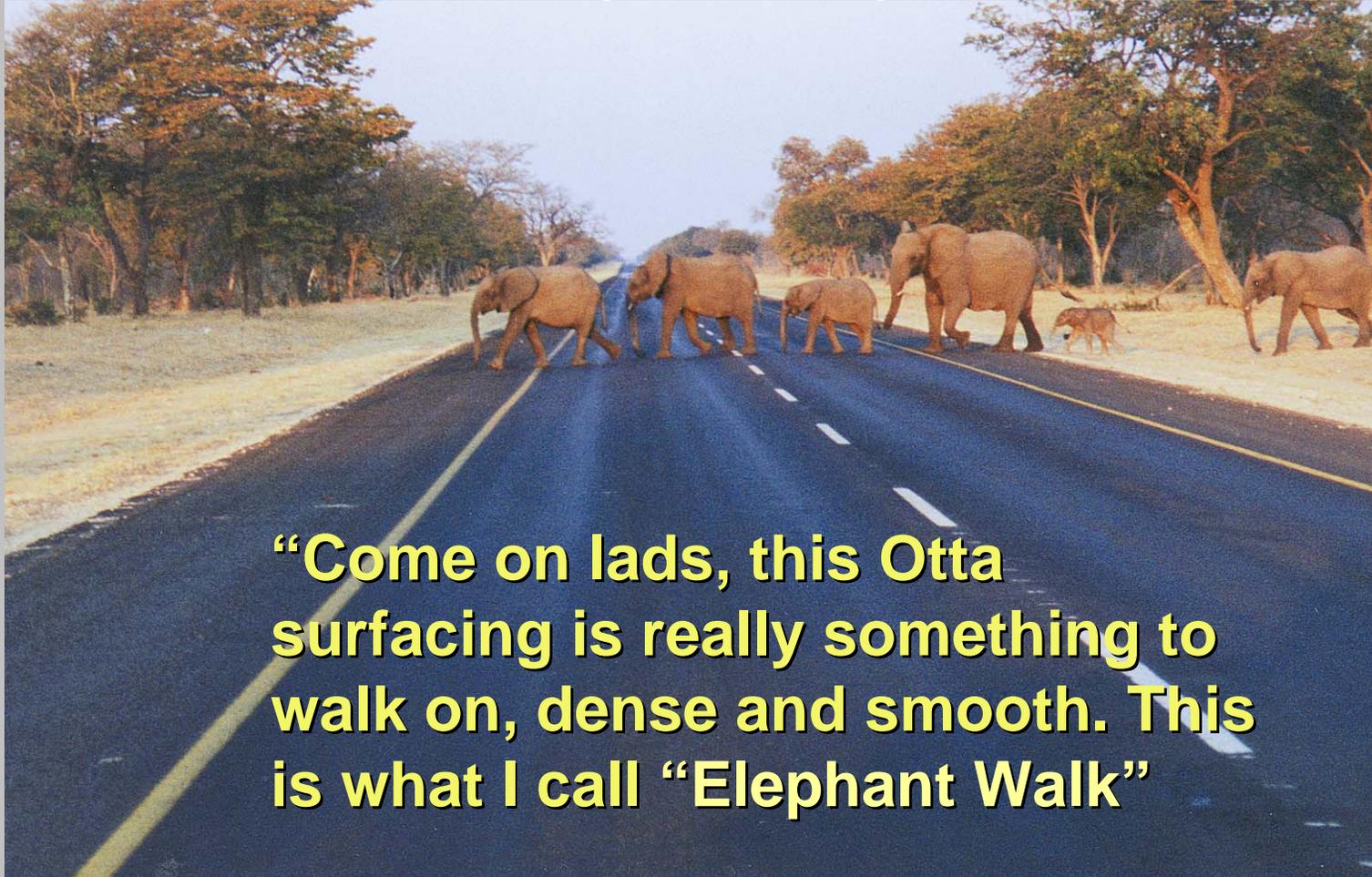


Conclusions

The Otta Seal has proved to be a very cost-effective surfacing and its use has under many circumstances allowed construction of roads under very unfavourable conditions, where conventional bituminous sprayed surfacings would have been too expensive or not possible at all.



The End, thank you for your attention



“Come on lads, this Otta surfacing is really something to walk on, dense and smooth. This is what I call “Elephant Walk”

Format of the Presentation:

- **Introduction**
 - **Types and performance characteristics**
 - **Properties and function of surfacings**
 - **Selection of surfacing type**
 - **Surfacing design and construction**
 - **A brief of the Otta seal**
 - **Case histories**
-

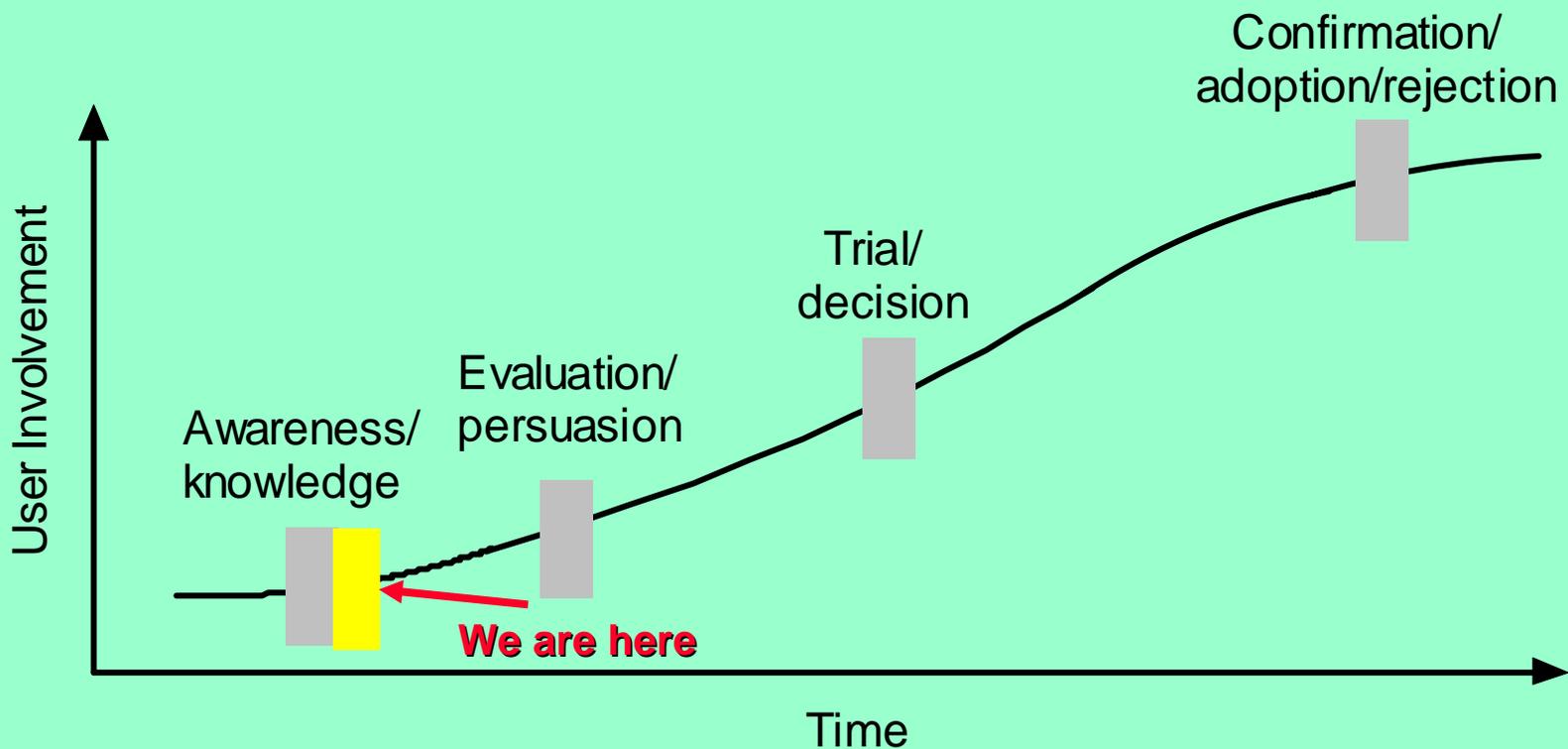
Otta seal in Kenya more than 20 years old



the challenges

Innovation and Technology Transfer

Phases in Uptake of New Technology

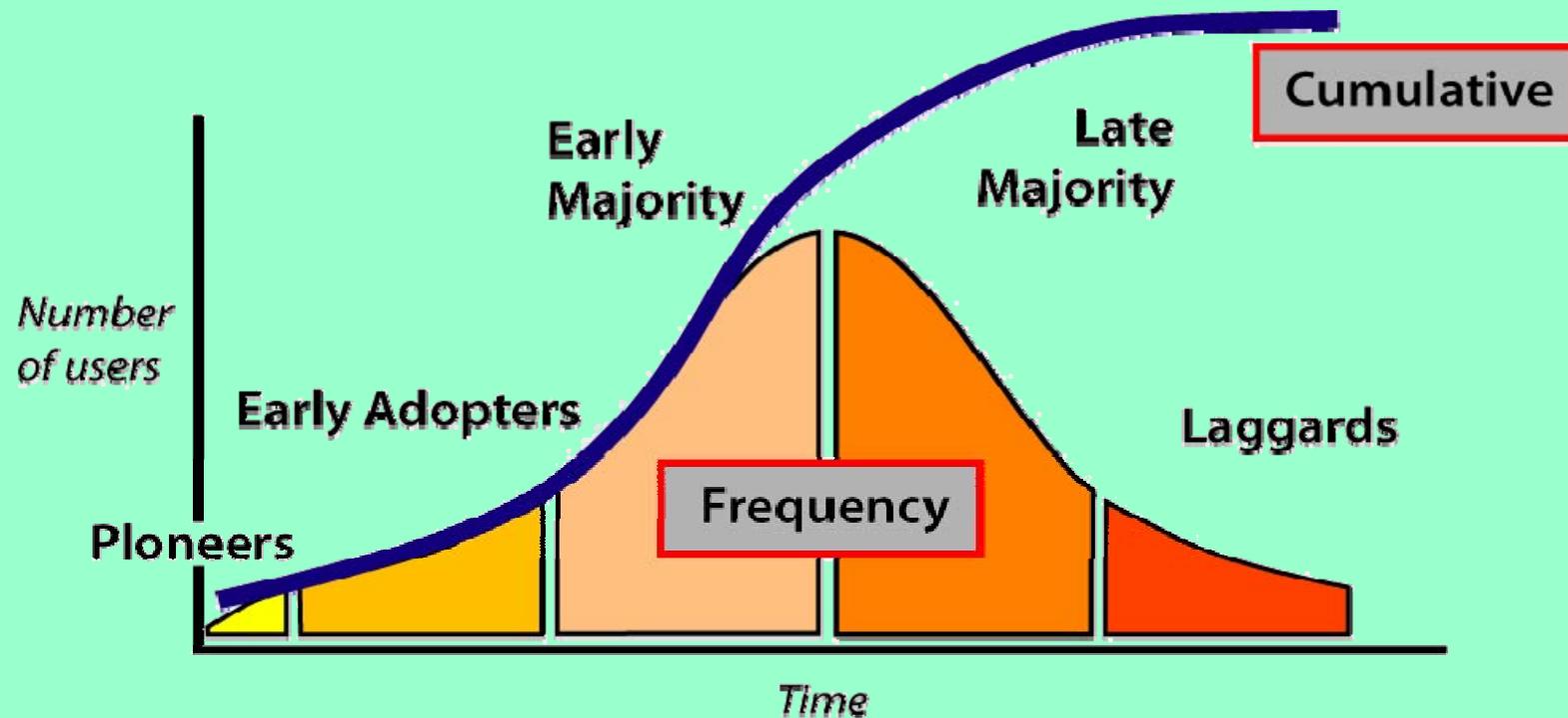


Technology in Practice

Typical Constraints and Barriers

- **Political**- government policy
 - promotion and incentives
- **Social** - culture
 - attitudes
- **Institutional**- Resistance to change
 - interest group resistance
 - institutional incentives
 - limited agency capacity
 - risk taking
 - regulations

The Otta seal – Quo vadis?



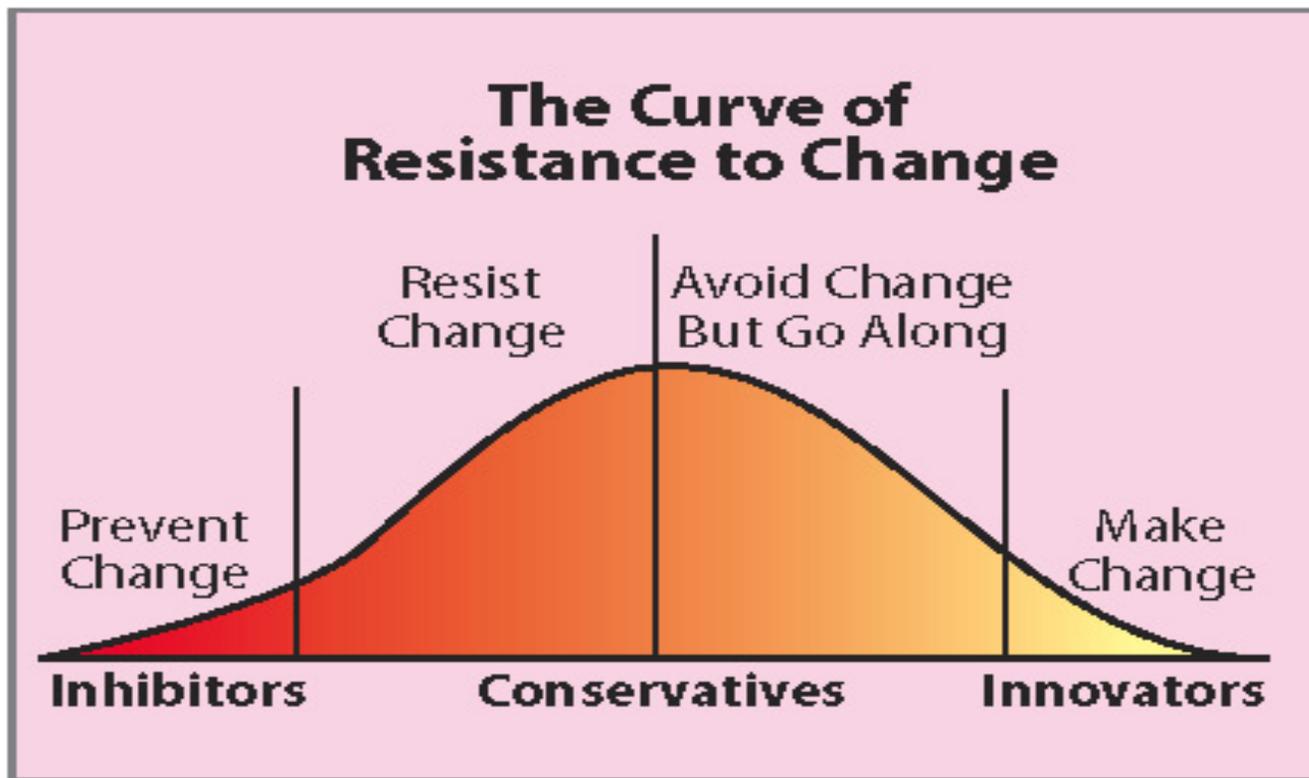
Research shows that when 20 – 25% of a target population has adopted an innovation, the whole process becomes self-sustaining.

Stakeholder Attitudes

- **Politicians & road users**
- **Roads agency**
- **Consultants**
- **Contractors**

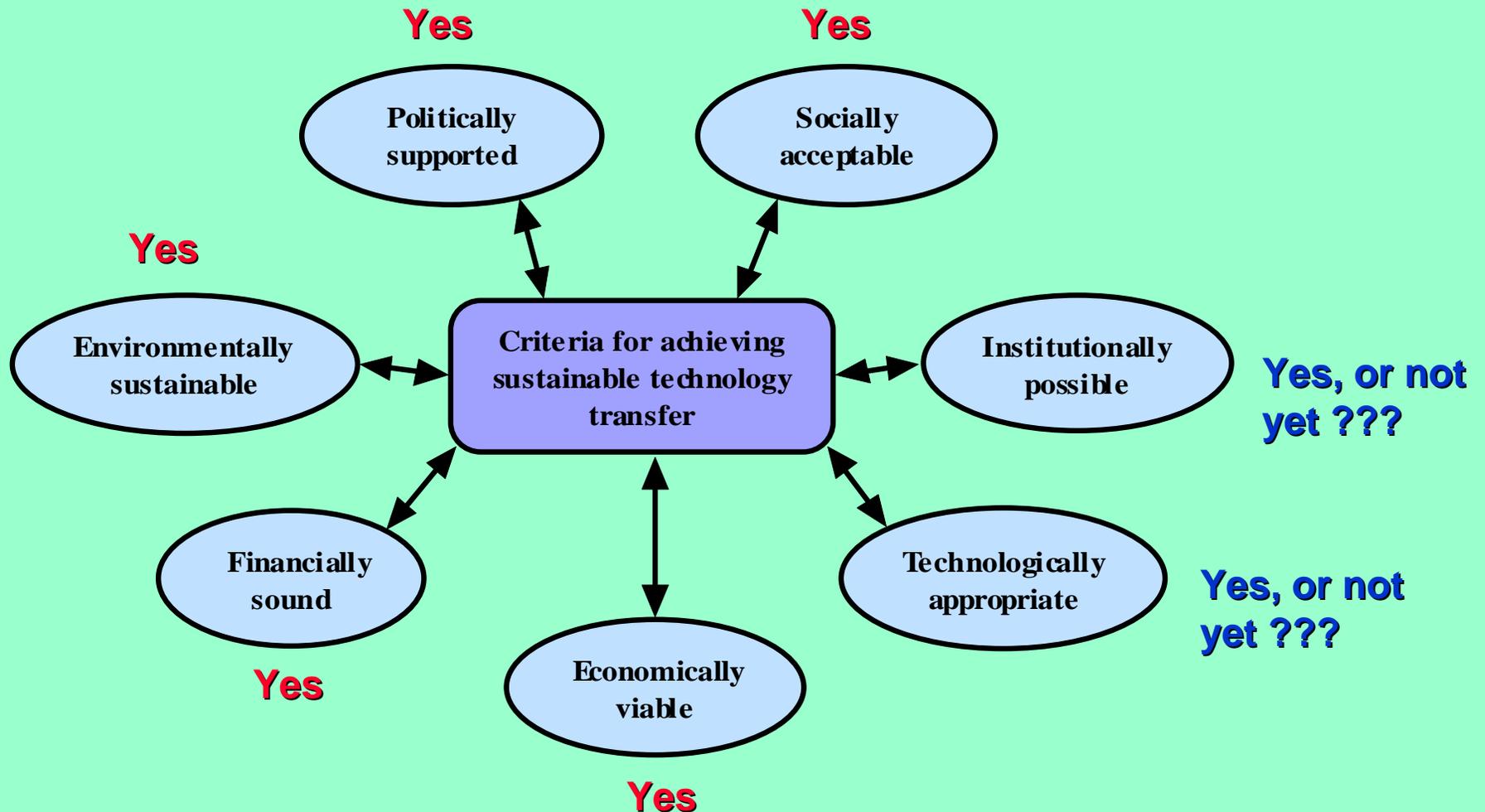
THE RESISTENCE TO CHANGE

Machiavelli, The Prince (1513)



There is nothing more difficult to take in hand, more perilous to conduct or more uncertain in its success, than to take the introduction of a new order of things, because the innovator makes enemies of all those who prospered under the old order, and only lukewarm support from those who would prosper under the new.

The seven dimensions ??????



Adoption of New Technology (I) will need:

Prepare a Guideline for Mali's prevailing conditions with regard to the Design, Construction and Maintenance of Otta Seals.

- **Training of Feeder Roads staff**
- **Training of Consultants**
- **Training of the Contractors** *and possibly*
conduct information meetings with people living along the road to be constructed and surfaced.

Adoption of New Technology (II)

..... or we adopt the following statement

*“ The operation was very successful,
but unfortunately the patient died”*

Adoption of New Technology (III)

The new idea either finds a champion or dies...No ordinary involvement with a new idea provides the energy required to cope with the indifference and resistance that major technological change provokes... Champions of new inventions must display persistence and courage of heroic quality.

Edward Schon, MIT.

WHICH WAY DO WE GO?



Thank You

